

**Drinking Water SRF Program Information
for the State of Rhode Island**

Federal and State Investment

For Federal Fiscal Year Ending September 30 of:

| | 1997 | 1998 | 1999 | 2000 | 2001 |
|--|------|--------------|--------------|--------------|--------------|
| Data Entered by EPA | | | | | |
| Federal Grants (Dollars) | | | | | |
| 1 Date of Last Award in the Year | - | 6/3/1998 | 9/22/1999 | 9/21/2000 | 9/5/2001 |
| 2 Total Annual Federal Grants | \$0 | \$12,558,800 | \$7,121,300 | \$7,463,800 | \$7,757,000 |
| 3 *Cumulative Federal Grants | \$0 | \$12,558,800 | \$19,680,100 | \$27,143,900 | \$34,900,900 |
| Transfer of Federal Funds Between DWSRF and CWSRF | | | | | |
| 4 Amount of Federal Funds Transferred into DWSRF Fund | \$0 | \$0 | \$0 | \$0 | \$0 |
| 5 Amount of Federal Funds Transferred out of DWSRF Fund | \$0 | \$0 | \$0 | \$0 | \$0 |
| 6 *Net Transfer into/(out of) DWSRF | \$0 | \$0 | \$0 | \$0 | \$0 |
| 7 *Cumulative Net Transfer into/(out of) DWSRF | \$0 | \$0 | \$0 | \$0 | \$0 |
| Quarterly Outlays (Dollars) | | | | | |
| 8 First Quarter Outlays | \$0 | \$0 | \$0 | \$3,594,965 | \$24,721 |
| 9 Second Quarter Outlays | \$0 | \$0 | \$0 | \$360,964 | \$197,000 |
| 10 Third Quarter Outlays | \$0 | \$206,921 | \$247,972 | \$139,480 | \$2,548,242 |
| 11 Fourth Quarter Outlays | \$0 | \$0 | \$27,562 | \$200,666 | \$327,631 |
| 12 *Total Annual Outlays | \$0 | \$206,921 | \$275,534 | \$4,296,075 | \$3,097,594 |
| 13 *Cumulative Outlays | \$0 | \$206,921 | \$482,455 | \$4,778,530 | \$7,876,124 |

* Calculated values.

**Drinking Water SRF Program Information
for the State of Rhode Island**

| Federal and State Investment | | <i>For Federal Fiscal Year Ending September 30 of:</i> | | | | |
|--|---|--|--------------|--------------|--------------|--------------|
| | | 2002 | 2003 | 2004 | 2005 | 2006 |
| Data Entered by EPA | | | | | | |
| Federal Grants (Dollars) | | | | | | |
| 1 | Date of Last Award in the Year | 3/27/2002 | 6/17/2003 | 7/20/2004 | 6/24/2005 | 9/5/2006 |
| 2 | Total Annual Federal Grants | \$7,789,100 | \$8,052,500 | \$8,004,100 | \$8,303,100 | \$8,285,500 |
| 3 | *Cumulative Federal Grants | \$42,690,000 | \$50,742,500 | \$58,746,600 | \$67,049,700 | \$75,335,200 |
| Transfer of Federal Funds Between DWSRF and CWSRF | | | | | | |
| 4 | Amount of Federal Funds Transferred into DWSRF Fund | \$0 | \$0 | \$0 | \$0 | \$0 |
| 5 | Amount of Federal Funds Transferred out of DWSRF Fund | \$0 | \$0 | \$0 | \$0 | \$0 |
| 6 | *Net Transfer into/(out of) DWSRF | \$0 | \$0 | \$0 | \$0 | \$0 |
| 7 | *Cumulative Net Transfer into/(out of) DWSRF | \$0 | \$0 | \$0 | \$0 | \$0 |
| Quarterly Outlays (Dollars) | | | | | | |
| 8 | First Quarter Outlays | \$1,206,336 | \$974,971 | \$1,638,676 | \$2,933,461 | \$2,795,564 |
| 9 | Second Quarter Outlays | \$477,122 | \$565,277 | \$1,434,186 | \$3,836,426 | \$3,228,112 |
| 10 | Third Quarter Outlays | \$770,496 | \$3,052,695 | \$3,817,413 | \$4,409,346 | \$1,308,226 |
| 11 | Fourth Quarter Outlays | \$674,477 | \$1,115,469 | \$2,107,194 | \$5,830,148 | \$1,118,476 |
| 12 | *Total Annual Outlays | \$3,128,431 | \$5,708,412 | \$8,997,469 | \$17,009,381 | \$8,450,378 |
| 13 | *Cumulative Outlays | \$11,004,555 | \$16,712,967 | \$25,710,436 | \$42,719,817 | \$51,170,195 |

* Calculated values.

**Drinking Water SRF Program Information
for the State of Rhode Island**

| Federal and State Investment | | For the Reporting Year Ending June 30 of: | | | | |
|---|--|---|---------------|--------------|--------------|---------------|
| | | 1997 | 1998 | 1999 | 2000 | 2001 |
| DWSRF Fund Investment Summary (Calculated Values) | | | | | | |
| 14 | *Annual Capitalization Grants | \$0 | \$12,558,800 | \$0 | \$7,121,300 | \$7,463,800 |
| 15 | *Cumulative Capitalization Grants | \$0 | \$12,558,800 | \$12,558,800 | \$19,680,100 | \$27,143,900 |
| Adjustments to the DWSRF Fund | | | | | | |
| 16 | *Annual Net Transfers with CWSRF into/(out of) the DWSRF Fund (from line 43) | \$0 | \$0 | \$0 | \$0 | \$0 |
| 17 | *Annual Amount (Awarded) for Set-Asides (from line 111) | \$0 | (\$2,260,584) | \$0 | (\$817,074) | (\$1,221,018) |
| 18 | *Annual Amount of Transfers into DWSRF Fund from Set-Asides (from line 113) | \$0 | \$0 | \$0 | \$0 | \$0 |
| 19 | *Annual Net Federal Contributions Adjusted for Transfers and Set-Asides | \$0 | \$10,298,216 | \$0 | \$6,304,226 | \$6,242,782 |
| 20 | *Cumulative Net Federal Contributions Adjusted for Transfers and Set-Asides | \$0 | \$10,298,216 | \$10,298,216 | \$16,602,442 | \$22,845,224 |
| 21 | *Annual State Contributions | \$0 | \$2,511,760 | \$0 | \$1,424,260 | \$1,492,760 |
| 22 | *Cumulative State Contributions | \$0 | \$2,511,760 | \$2,511,760 | \$3,936,020 | \$5,428,780 |
| 23 | *Annual Net Investments for the DWSRF Fund | \$0 | \$12,809,976 | \$0 | \$7,728,486 | \$7,735,542 |
| 24 | *Cumulative Net Investments for the DWSRF Fund | \$0 | \$12,809,976 | \$12,809,976 | \$20,538,462 | \$28,274,004 |
| 25 | *State Contributions as a % of Grants - Annual | - | 20% | - | 20% | 20% |
| 26 | *State Contributions as a % of Grants - Cumulative | - | 20% | 20% | 20% | 20% |
| Outlays (Dollars) | | | | | | |
| 27 | *Annual | \$0 | \$206,921 | \$247,972 | \$4,122,971 | \$2,970,629 |
| 28 | *Cumulative | \$0 | \$206,921 | \$454,893 | \$4,577,864 | \$7,548,493 |
| State Match Contributions Deposited (Dollars) | | | | | | |
| 29 | Cash or Appropriations | \$0 | \$2,511,760 | \$0 | \$1,424,260 | \$1,492,760 |
| 30 | Bonds Retired Outside the DWSRF Fund | \$0 | \$0 | \$0 | \$0 | \$0 |
| 31 | Bonds Retired from the DWSRF Fund | \$0 | \$0 | \$0 | \$0 | \$0 |
| 32 | Pre-existing Loans | \$0 | \$0 | \$0 | \$0 | \$0 |
| 33 | Other Sources | \$0 | \$0 | \$0 | \$0 | \$0 |
| 34 | *Total Annual Match Contributions | \$0 | \$2,511,760 | \$0 | \$1,424,260 | \$1,492,760 |
| 35 | *Cumulative Match Contributions | \$0 | \$2,511,760 | \$2,511,760 | \$3,936,020 | \$5,428,780 |
| Additional Match for State Program Management Activities (Dollars) | | | | | | |
| 36 | Credit for 1993 Funding Used | \$0 | \$125,588 | \$0 | \$266,111 | \$200,000 |
| 37 | Cash Contributions Deposited | \$0 | \$0 | \$0 | \$0 | \$0 |
| 38 | Contributions as In-Kind Services | \$0 | \$125,588 | \$0 | \$266,111 | \$200,000 |
| 39 | *Total Annual Additional Contribution | \$0 | \$251,176 | \$0 | \$532,222 | \$400,000 |
| 40 | *Cumulative Additional Contribution | \$0 | \$251,176 | \$251,176 | \$783,398 | \$1,183,398 |
| Net Transfer of Funds with CWSRF into/(out of) the DWSRF Fund | | | | | | |
| 41 | *Annual Net Transfer of Federal Funds with CWSRF into/(out of) the DWSRF Fund | \$0 | \$0 | \$0 | \$0 | \$0 |
| 42 | Annual Net Transfer of Non-Federal Funds with CWSRF into/(out of) the DWSRF Fund | \$0 | \$0 | \$0 | \$0 | \$0 |
| 43 | *Total Annual Net Amount Transferred | \$0 | \$0 | \$0 | \$0 | \$0 |
| 44 | *Cumulative Total Net Amount Transferred | \$0 | \$0 | \$0 | \$0 | \$0 |

* Calculated values.

**Drinking Water SRF Program Information
for the State of Rhode Island**

Federal and State Investment

| | | <i>For the Reporting Year Ending June 30 of:</i> | | | | |
|---|--|--|---------------|---------------|---------------|--------------|
| | | 2002 | 2003 | 2004 | 2005 | 2006 |
| DWSRF Fund Investment Summary (Calculated Values) | | | | | | |
| 14 | *Annual Capitalization Grants | \$15,546,100 | \$8,052,500 | \$0 | \$16,307,200 | \$0 |
| 15 | *Cumulative Capitalization Grants | \$42,690,000 | \$50,742,500 | \$50,742,500 | \$67,049,700 | \$67,049,700 |
| Adjustments to the DWSRF Fund | | | | | | |
| 16 | *Annual Net Transfers with CWSRF into/(out of) the DWSRF Fund (from line 43) | \$0 | \$0 | \$0 | \$0 | \$0 |
| 17 | *Annual Amount (Awarded) for Set-Asides (from line 111) | (\$3,816,913) | (\$3,317,408) | (\$2,481,271) | (\$2,573,961) | \$0 |
| 18 | *Annual Amount of Transfers into DWSRF Fund from Set-Asides (from line 113) | \$0 | \$0 | \$0 | \$0 | \$0 |
| 19 | *Annual Net Federal Contributions Adjusted for Transfers and Set-Asides | \$11,729,187 | \$4,735,092 | (\$2,481,271) | \$13,733,239 | \$0 |
| 20 | *Cumulative Net Federal Contributions Adjusted for Transfers and Set-Asides | \$34,574,411 | \$39,309,503 | \$36,828,232 | \$50,561,471 | \$50,561,471 |
| 21 | *Annual State Contributions | \$3,109,220 | \$1,610,500 | \$1,600,820 | \$1,660,620 | \$0 |
| 22 | *Cumulative State Contributions | \$8,538,000 | \$10,148,500 | \$11,749,320 | \$13,409,940 | \$13,409,940 |
| 23 | *Annual Net Investments for the DWSRF Fund | \$14,838,407 | \$6,345,592 | (\$880,451) | \$15,393,859 | \$0 |
| 24 | *Cumulative Net Investments for the DWSRF Fund | \$43,112,411 | \$49,458,003 | \$48,577,552 | \$63,971,411 | \$63,971,411 |
| 25 | *State Contributions as a % of Grants - Annual | 20% | 20% | - | 10% | - |
| 26 | *State Contributions as a % of Grants - Cumulative | 20% | 20% | 23% | 20% | 20% |
| Outlays (Dollars) | | | | | | |
| 27 | *Annual | \$2,781,585 | \$5,267,420 | \$8,005,744 | \$13,286,427 | \$13,162,050 |
| 28 | *Cumulative | \$10,330,078 | \$15,597,498 | \$23,603,242 | \$36,889,669 | \$50,051,719 |
| State Match Contributions Deposited (Dollars) | | | | | | |
| 29 | Cash or Appropriations | \$3,109,220 | \$1,610,500 | \$1,600,820 | \$1,660,620 | \$0 |
| 30 | Bonds Retired Outside the DWSRF Fund | \$0 | \$0 | \$0 | \$0 | \$0 |
| 31 | Bonds Retired from the DWSRF Fund | \$0 | \$0 | \$0 | \$0 | \$0 |
| 32 | Pre-existing Loans | \$0 | \$0 | \$0 | \$0 | \$0 |
| 33 | Other Sources | \$0 | \$0 | \$0 | \$0 | \$0 |
| 34 | *Total Annual Match Contributions | \$3,109,220 | \$1,610,500 | \$1,600,820 | \$1,660,620 | \$0 |
| 35 | *Cumulative Match Contributions | \$8,538,000 | \$10,148,500 | \$11,749,320 | \$13,409,940 | \$13,409,940 |
| Additional Match for State Program Management Activities (Dollars) | | | | | | |
| 36 | Credit for 1993 Funding Used | \$536,172 | \$268,086 | \$268,086 | \$0 | \$268,086 |
| 37 | Cash Contributions Deposited | \$0 | \$0 | \$0 | \$0 | \$0 |
| 38 | Contributions as In-Kind Services | \$1,192,966 | \$993,477 | \$0 | \$0 | \$0 |
| 39 | *Total Annual Additional Contribution | \$1,729,138 | \$1,261,563 | \$268,086 | \$0 | \$268,086 |
| 40 | *Cumulative Additional Contribution | \$2,912,536 | \$4,174,099 | \$4,442,185 | \$4,442,185 | \$4,710,271 |
| Net Transfer of Funds with CWSRF into/(out of) the DWSRF Fund | | | | | | |
| 41 | *Annual Net Transfer of Federal Funds with CWSRF into/(out of) the DWSRF Fund | \$0 | \$0 | \$0 | \$0 | \$0 |
| 42 | Annual Net Transfer of Non-Federal Funds with CWSRF into/(out of) the DWSRF Fund | \$0 | \$0 | \$0 | \$0 | \$0 |
| 43 | *Total Annual Net Amount Transferred | \$0 | \$0 | \$0 | \$0 | \$0 |
| 44 | *Cumulative Total Net Amount Transferred | \$0 | \$0 | \$0 | \$0 | \$0 |

* Calculated values.

**Drinking Water SRF Program Information
for the State of Rhode Island**

| Set-Asides | For the Reporting Year Ending June 30 of: | | | | |
|--|---|-----------|-----------|-----------|-------------|
| | 1997 | 1998 | 1999 | 2000 | 2001 |
| Administrative Expenses (Dollars) | | | | | |
| 45 Amount Awarded for Inclusion in Workplans | \$0 | \$502,352 | \$0 | \$284,852 | \$298,552 |
| 46 *Annual Amount Awarded as a % of Grants Awarded | - | 4.0% | - | 4.0% | 4.0% |
| 47 Amount Transferred to/(from) Administrative Expenses | \$0 | \$0 | \$0 | \$0 | \$0 |
| 48 *Annual Amount Awarded and Transferred | \$0 | \$502,352 | \$0 | \$284,852 | \$298,552 |
| 49 *Cumulative Amount Awarded, Including Transfers | \$0 | \$502,352 | \$502,352 | \$787,204 | \$1,085,756 |
| 50 Annual Expenses - DWSRF Administration | \$0 | \$0 | \$0 | \$0 | \$176,964 |
| 51 Annual Expenses - Technical Assistance | \$0 | \$0 | \$0 | \$0 | \$0 |
| 52 *Total Annual Administrative Expenses | \$0 | \$0 | \$0 | \$0 | \$176,964 |
| 53 *Cumulative Administrative Expenses | \$0 | \$0 | \$0 | \$0 | \$176,964 |
| 54 *Remaining Awarded Amount | \$0 | \$502,352 | \$502,352 | \$787,204 | \$908,792 |
| Small Systems Technical Assistance | | | | | |
| 55 Amount Awarded for Inclusion in Workplans | \$0 | \$251,176 | \$0 | \$0 | \$149,276 |
| 56 *Annual Amount Awarded as a % of Grants Awarded | - | 2.0% | - | 0.0% | 2.0% |
| 57 Amount Transferred to/(from) Small Systems Technical Assistance | \$0 | \$0 | \$0 | \$0 | \$0 |
| 58 *Annual Amount Awarded and Transferred | \$0 | \$251,176 | \$0 | \$0 | \$149,276 |
| 59 *Cumulative Amount Awarded, Including Transfers | \$0 | \$251,176 | \$251,176 | \$251,176 | \$400,452 |
| 60 Annual Expenses - Small System Technical Assistance | \$0 | \$0 | \$0 | \$34,912 | \$27,747 |
| 61 *Cumulative Small Systems Technical Assistance Expenses | \$0 | \$0 | \$0 | \$34,912 | \$62,659 |
| 62 *Remaining Awarded Amount | \$0 | \$251,176 | \$251,176 | \$216,264 | \$337,793 |
| 63 Annual Number of Systems Receiving Assistance | 0 | 0 | 0 | 100 | 110 |
| 64 *Cumulative Number of Small Systems Receiving Technical Assistance | 0 | 0 | 0 | 100 | 210 |
| State Program Management (Dollars) | | | | | |
| 65 Amount Awarded for Inclusion in Workplans | \$0 | \$251,176 | \$0 | \$532,222 | \$400,000 |
| 66 *Annual Amount Awarded as a % of Grants Awarded | - | 2.0% | - | 7.5% | 5.4% |
| 67 Amount Transferred to/(from) State Program Management | \$0 | \$0 | \$0 | \$0 | \$0 |
| 68 *Annual Amount Awarded and Transferred | \$0 | \$251,176 | \$0 | \$532,222 | \$400,000 |
| 69 *Cumulative Amount Awarded, Including Transfers | \$0 | \$251,176 | \$251,176 | \$783,398 | \$1,183,398 |
| 70 Annual Expenses - PWSS Administration | \$0 | \$3,227 | \$72,433 | \$139,056 | \$266,931 |
| 71 *Cumulative Expenses - PWSS Administration | \$0 | \$3,227 | \$75,660 | \$214,716 | \$481,647 |
| 72 Annual Expenses - Source Water Protection Technical Assistance | \$0 | \$0 | \$0 | \$0 | \$0 |
| 73 *Cumulative Expenses - Source Water Protection Technical Assistance | \$0 | \$0 | \$0 | \$0 | \$0 |
| 74 Annual Expenses - Capacity Development | \$0 | \$0 | \$0 | \$9,722 | \$61,277 |
| 75 *Cumulative Expenses - Capacity Development | \$0 | \$0 | \$0 | \$9,722 | \$70,999 |
| 76 Annual Expenses - Operator Certification Programs | \$0 | \$0 | \$0 | \$900 | \$21,316 |
| 77 *Cumulative Expenses - Operator Certification Programs | \$0 | \$0 | \$0 | \$900 | \$22,216 |
| 78 *Total Annual State Program Management Expenses | \$0 | \$3,227 | \$72,433 | \$149,678 | \$349,524 |
| 79 *Cumulative State Program Management Expenses | \$0 | \$3,227 | \$75,660 | \$225,338 | \$574,862 |
| 80 *Remaining Awarded Amount | \$0 | \$247,949 | \$175,516 | \$558,060 | \$608,536 |

* Calculated values.

**Drinking Water SRF Program Information
for the State of Rhode Island**

| Set-Asides | | <i>For the Reporting Year Ending June 30 of:</i> | | | | |
|---|---|--|-------------|-------------|-------------|-------------|
| | | 2002 | 2003 | 2004 | 2005 | 2006 |
| Administrative Expenses (Dollars) | | | | | | |
| 45 | Amount Awarded for Inclusion in Workplans | \$621,844 | \$322,100 | \$320,164 | \$332,124 | \$0 |
| 46 | *Annual Amount Awarded as a % of Grants Awarded | 4.0% | 4.0% | - | 2.0% | - |
| 47 | Amount Transferred to/(from) Administrative Expenses | \$0 | \$0 | \$0 | \$0 | \$0 |
| 48 | *Annual Amount Awarded and Transferred | \$621,844 | \$322,100 | \$320,164 | \$332,124 | \$0 |
| 49 | *Cumulative Amount Awarded, Including Transfers | \$1,707,600 | \$2,029,700 | \$2,349,864 | \$2,681,988 | \$2,681,988 |
| 50 | Annual Expenses - DWSRF Administration | \$129,965 | \$131,748 | \$107,344 | \$101,189 | \$0 |
| 51 | Annual Expenses - Technical Assistance | \$0 | \$0 | \$0 | \$0 | \$0 |
| 52 | *Total Annual Administrative Expenses | \$129,965 | \$131,748 | \$107,344 | \$101,189 | \$0 |
| 53 | *Cumulative Administrative Expenses | \$306,929 | \$438,677 | \$546,021 | \$647,210 | \$647,210 |
| 54 | *Remaining Awarded Amount | \$1,400,671 | \$1,591,023 | \$1,803,843 | \$2,034,778 | \$2,034,778 |
| Small Systems Technical Assistance | | | | | | |
| 55 | Amount Awarded for Inclusion in Workplans | \$297,566 | \$316,832 | \$160,082 | \$166,062 | \$0 |
| 56 | *Annual Amount Awarded as a % of Grants Awarded | 1.9% | 3.9% | - | 1.0% | - |
| 57 | Amount Transferred to/(from) Small Systems Technical Assistance | \$0 | \$0 | \$0 | \$0 | \$0 |
| 58 | *Annual Amount Awarded and Transferred | \$297,566 | \$316,832 | \$160,082 | \$166,062 | \$0 |
| 59 | *Cumulative Amount Awarded, Including Transfers | \$698,018 | \$1,014,850 | \$1,174,932 | \$1,340,994 | \$1,340,994 |
| 60 | Annual Expenses - Small System Technical Assistance | \$168,790 | \$97,600 | \$100,074 | \$123,071 | \$116,776 |
| 61 | *Cumulative Small Systems Technical Assistance Expenses | \$231,449 | \$329,049 | \$429,123 | \$552,194 | \$668,970 |
| 62 | *Remaining Awarded Amount | \$466,569 | \$685,801 | \$745,809 | \$788,800 | \$672,024 |
| 63 | Annual Number of Systems Receiving Assistance | 281 | 244 | 264 | 328 | 290 |
| 64 | *Cumulative Number of Small Systems Receiving Technical Assistance | 491 | 735 | 999 | 1,327 | 1,617 |
| State Program Management (Dollars) | | | | | | |
| 65 | Amount Awarded for Inclusion in Workplans | \$1,729,138 | \$1,470,601 | \$800,410 | \$830,310 | \$0 |
| 66 | *Annual Amount Awarded as a % of Grants Awarded | 11.1% | 18.3% | - | 5.1% | - |
| 67 | Amount Transferred to/(from) State Program Management | \$0 | \$0 | \$0 | \$0 | \$0 |
| 68 | *Annual Amount Awarded and Transferred | \$1,729,138 | \$1,470,601 | \$800,410 | \$830,310 | \$0 |
| 69 | *Cumulative Amount Awarded, Including Transfers | \$2,912,536 | \$4,383,137 | \$5,183,547 | \$6,013,857 | \$6,013,857 |
| 70 | Annual Expenses - PWSS Administration | \$429,950 | \$440,616 | \$689,727 | \$676,505 | \$808,506 |
| 71 | *Cumulative Expenses - PWSS Administration | \$911,597 | \$1,352,213 | \$2,041,940 | \$2,718,445 | \$3,526,951 |
| 72 | Annual Expenses - Source Water Protection Technical Assistance | \$0 | \$0 | \$0 | \$0 | \$0 |
| 73 | *Cumulative Expenses - Source Water Protection Technical Assistance | \$0 | \$0 | \$0 | \$0 | \$0 |
| 74 | Annual Expenses - Capacity Development | \$54,030 | \$59,538 | \$59,586 | \$0 | \$0 |
| 75 | *Cumulative Expenses - Capacity Development | \$125,029 | \$184,567 | \$244,153 | \$244,153 | \$244,153 |
| 76 | Annual Expenses - Operator Certification Programs | \$17,900 | \$13,088 | \$1,100 | \$133,550 | \$0 |
| 77 | *Cumulative Expenses - Operator Certification Programs | \$40,116 | \$53,204 | \$54,304 | \$187,854 | \$187,854 |
| 78 | *Total Annual State Program Management Expenses | \$501,880 | \$513,242 | \$750,413 | \$810,055 | \$808,506 |
| 79 | *Cumulative State Program Management Expenses | \$1,076,742 | \$1,589,984 | \$2,340,397 | \$3,150,452 | \$3,958,958 |
| 80 | *Remaining Awarded Amount | \$1,835,794 | \$2,793,153 | \$2,843,150 | \$2,863,405 | \$2,054,899 |

* Calculated values.

**Drinking Water SRF Program Information
for the State of Rhode Island**

| Set-Asides | | For the Reporting Year Ending June 30 of: | | | | |
|--|--|---|-------------|-------------|-------------|-------------|
| | | 1997 | 1998 | 1999 | 2000 | 2001 |
| Local Assistance and Other State Programs (1452(k) Activities) | | | | | | |
| 81 | Amount Awarded for Inclusion in Workplans | \$0 | \$1,255,880 | \$0 | \$0 | \$373,190 |
| 82 | *Annual Amount Awarded as a % of Grants Awarded | - | 10.0% | - | 0.0% | 5.0% |
| 83 | Amount Transferred to/(from) 1452(k) Activities | \$0 | \$0 | \$0 | \$0 | \$0 |
| 84 | *Annual Amount Awarded and Transferred | \$0 | \$1,255,880 | \$0 | \$0 | \$373,190 |
| 85 | *Cumulative Amount Awarded, Including Transfers | \$0 | \$1,255,880 | \$1,255,880 | \$1,255,880 | \$1,629,070 |
| Loans for Source Water Protection Land Acquisition/Conservation Easements | | | | | | |
| 86 | Annual Dollar Amount of Loans | \$0 | \$0 | \$0 | \$0 | \$0 |
| 87 | *Cumulative Dollar Amount of Loans | \$0 | \$0 | \$0 | \$0 | \$0 |
| 88 | Annual Number of Systems Receiving Assistance | 0 | 0 | 0 | 0 | 0 |
| 89 | *Cumulative Number of Systems Receiving Assistance | 0 | 0 | 0 | 0 | 0 |
| 90 | Annual Number of Acres of Land Acquired for SWP | 0 | 0 | 0 | 0 | 0 |
| 91 | *Cumulative Number of Acres of Land Acquired for SWP | 0 | 0 | 0 | 0 | 0 |
| Loans for Incentive-Based Source Water Protection Measures | | | | | | |
| 92 | Annual Dollar Amount of Loans | \$0 | \$0 | \$0 | \$0 | \$0 |
| 93 | *Cumulative Dollar Amount of Loans | \$0 | \$0 | \$0 | \$0 | \$0 |
| 94 | Annual Number of Systems Receiving Assistance | 0 | 0 | 0 | 0 | 0 |
| 95 | *Cumulative Number of Systems Receiving Assistance | 0 | 0 | 0 | 0 | 0 |
| Source Water Protection Area Delineation/Assessment | | | | | | |
| 96 | Annual Expenses - SWP Area Delineation/Assessment | \$0 | \$18,055 | \$72,213 | \$69,886 | \$290,526 |
| 97 | *Cumulative Expenses - SWP Area Delineation/Assessment | \$0 | \$18,055 | \$90,268 | \$160,154 | \$450,680 |
| Wellhead Protection Programs | | | | | | |
| 98 | Annual Expenses - Wellhead Protection Programs | \$0 | \$0 | \$0 | \$0 | \$0 |
| 99 | Annual Dollar Amount of Wellhead Protection Loans | \$0 | \$0 | \$0 | \$0 | \$0 |
| 100 | Annual Number of Wellhead Protection Loans | 0 | 0 | 0 | 0 | 0 |
| 101 | *Cumulative Expenses/Loans - Wellhead Protection Programs | \$0 | \$0 | \$0 | \$0 | \$0 |
| Technical or Financial Assistance to PWSs for Capacity Development | | | | | | |
| 102 | Annual Expenses - Technical or Financial Assistance to PWSs | \$0 | \$0 | \$0 | \$0 | \$0 |
| 103 | Annual Dollar Amount of Loans under the Capacity Development Strategy | \$0 | \$0 | \$0 | \$0 | \$0 |
| 104 | Annual Number of Loans under the Capacity Development Strategy | 0 | 0 | 0 | 0 | 0 |
| 105 | *Cumulative Expenses/Loans - Technical or Financial Assistance to PWSs | \$0 | \$0 | \$0 | \$0 | \$0 |
| 106 | Annual Number of Systems Receiving Assistance | 0 | 0 | 0 | 0 | 0 |
| 107 | *Cumulative Number of Systems Receiving Assistance | 0 | 0 | 0 | 0 | 0 |
| 108 | *Total Annual 1452(k) Activity Dollars | \$0 | \$18,055 | \$72,213 | \$69,886 | \$290,526 |
| 109 | *Cumulative 1452(k) Activity Dollars | \$0 | \$18,055 | \$90,268 | \$160,154 | \$450,680 |
| 110 | *Remaining Awarded Amount | \$0 | \$1,237,825 | \$1,165,612 | \$1,095,726 | \$1,178,390 |
| Set-Aside Summary | | | | | | |
| 111 | *Annual Total Awarded Amount for Set-Asides | \$0 | \$2,260,584 | \$0 | \$817,074 | \$1,221,018 |
| 112 | *Cumulative Total Awarded Amount for Set-Asides | \$0 | \$2,260,584 | \$2,260,584 | \$3,077,658 | \$4,298,676 |
| 113 | *Annual Net Transfers from Awarded Amounts to DWSRF Fund | \$0 | \$0 | \$0 | \$0 | \$0 |
| 114 | *Cumulative Net Transfers from Awarded Amounts to DWSRF Fund | \$0 | \$0 | \$0 | \$0 | \$0 |
| 115 | *Annual Net Total Amount Awarded for Set-Asides | \$0 | \$2,260,584 | \$0 | \$817,074 | \$1,221,018 |
| 116 | *Cumulative Net Total Amount Awarded for Set-Asides | \$0 | \$2,260,584 | \$2,260,584 | \$3,077,658 | \$4,298,676 |
| 117 | *Cumulative Net Total Amount Awarded as a % of Grants Awarded | - | 18.0% | 18.0% | 15.6% | 15.8% |
| 118 | *Total Annual Set-Aside Activity Dollars Expended/Committed | \$0 | \$21,282 | \$144,646 | \$254,476 | \$844,761 |
| 119 | *Cumulative Set-Aside Activity Dollars Expended/Committed | \$0 | \$21,282 | \$165,928 | \$420,404 | \$1,265,165 |
| 120 | *Cumulative Remaining Awarded Amount for Set-Asides | \$0 | \$2,239,302 | \$2,094,656 | \$2,657,254 | \$3,033,511 |

* Calculated values.

**Drinking Water SRF Program Information
for the State of Rhode Island**

| Set-Asides | | For the Reporting Year Ending June 30 of: | | | | |
|--|--|---|--------------|--------------|--------------|--------------|
| | | 2002 | 2003 | 2004 | 2005 | 2006 |
| Local Assistance and Other State Programs (1452(k) Activities) | | | | | | |
| 81 | Amount Awarded for Inclusion in Workplans | \$1,168,365 | \$1,207,875 | \$1,200,615 | \$1,245,465 | \$0 |
| 82 | *Annual Amount Awarded as a % of Grants Awarded | 7.5% | 15.0% | - | 7.6% | - |
| 83 | Amount Transferred to/(from) 1452(k) Activities | \$0 | \$0 | \$0 | \$0 | \$0 |
| 84 | *Annual Amount Awarded and Transferred | \$1,168,365 | \$1,207,875 | \$1,200,615 | \$1,245,465 | \$0 |
| 85 | *Cumulative Amount Awarded, Including Transfers | \$2,797,435 | \$4,005,310 | \$5,205,925 | \$6,451,390 | \$6,451,390 |
| Loans for Source Water Protection Land Acquisition/Conservation Easements | | | | | | |
| 86 | Annual Dollar Amount of Loans | \$0 | \$0 | \$0 | \$0 | \$0 |
| 87 | *Cumulative Dollar Amount of Loans | \$0 | \$0 | \$0 | \$0 | \$0 |
| 88 | Annual Number of Systems Receiving Assistance | 0 | 0 | 0 | 0 | 0 |
| 89 | *Cumulative Number of Systems Receiving Assistance | 0 | 0 | 0 | 0 | 0 |
| 90 | Annual Number of Acres of Land Acquired for SWP | 0 | 0 | 0 | 0 | 0 |
| 91 | *Cumulative Number of Acres of Land Acquired for SWP | 0 | 0 | 0 | 0 | 0 |
| Loans for Incentive-Based Source Water Protection Measures | | | | | | |
| 92 | Annual Dollar Amount of Loans | \$0 | \$0 | \$0 | \$0 | \$0 |
| 93 | *Cumulative Dollar Amount of Loans | \$0 | \$0 | \$0 | \$0 | \$0 |
| 94 | Annual Number of Systems Receiving Assistance | 0 | 0 | 0 | 0 | 0 |
| 95 | *Cumulative Number of Systems Receiving Assistance | 0 | 0 | 0 | 0 | 0 |
| Source Water Protection Area Delineation/Assessment | | | | | | |
| 96 | Annual Expenses - SWP Area Delineation/Assessment | \$310,716 | \$442,105 | \$0 | \$0 | \$0 |
| 97 | *Cumulative Expenses - SWP Area Delineation/Assessment | \$761,396 | \$1,203,501 | \$1,203,501 | \$1,203,501 | \$1,203,501 |
| Wellhead Protection Programs | | | | | | |
| 98 | Annual Expenses - Wellhead Protection Programs | \$0 | \$143,892 | \$980,870 | \$830,623 | \$515,252 |
| 99 | Annual Dollar Amount of Wellhead Protection Loans | \$0 | \$0 | \$0 | \$0 | \$0 |
| 100 | Annual Number of Wellhead Protection Loans | 0 | 0 | 0 | 0 | 0 |
| 101 | *Cumulative Expenses/Loans - Wellhead Protection Programs | \$0 | \$143,892 | \$1,124,762 | \$1,955,385 | \$2,470,637 |
| Technical or Financial Assistance to PWSs for Capacity Development | | | | | | |
| 102 | Annual Expenses - Technical or Financial Assistance to PWSs | \$0 | \$18,602 | \$331,817 | \$411,155 | \$298,808 |
| 103 | Annual Dollar Amount of Loans under the Capacity Development Strategy | \$0 | \$0 | \$0 | \$0 | \$0 |
| 104 | Annual Number of Loans under the Capacity Development Strategy | 0 | 0 | 0 | 0 | 0 |
| 105 | *Cumulative Expenses/Loans - Technical or Financial Assistance to PWSs | \$0 | \$18,602 | \$350,419 | \$761,574 | \$1,060,382 |
| 106 | Annual Number of Systems Receiving Assistance | 0 | 80 | 144 | 163 | 165 |
| 107 | *Cumulative Number of Systems Receiving Assistance | 0 | 80 | 224 | 387 | 552 |
| 108 | *Total Annual 1452(k) Activity Dollars | \$310,716 | \$604,599 | \$1,312,687 | \$1,241,778 | \$814,060 |
| 109 | *Cumulative 1452(k) Activity Dollars | \$761,396 | \$1,365,995 | \$2,678,682 | \$3,920,460 | \$4,734,520 |
| 110 | *Remaining Awarded Amount | \$2,036,039 | \$2,639,315 | \$2,527,243 | \$2,530,930 | \$1,716,870 |
| Set-Aside Summary | | | | | | |
| 111 | *Annual Total Awarded Amount for Set-Asides | \$3,816,913 | \$3,317,408 | \$2,481,271 | \$2,573,961 | \$0 |
| 112 | *Cumulative Total Awarded Amount for Set-Asides | \$8,115,589 | \$11,432,997 | \$13,914,268 | \$16,488,229 | \$16,488,229 |
| 113 | *Annual Net Transfers from Awarded Amounts to DWSRF Fund | \$0 | \$0 | \$0 | \$0 | \$0 |
| 114 | *Cumulative Net Transfers from Awarded Amounts to DWSRF Fund | \$0 | \$0 | \$0 | \$0 | \$0 |
| 115 | *Annual Net Total Amount Awarded for Set-Asides | \$3,816,913 | \$3,317,408 | \$2,481,271 | \$2,573,961 | \$0 |
| 116 | *Cumulative Net Total Amount Awarded for Set-Asides | \$8,115,589 | \$11,432,997 | \$13,914,268 | \$16,488,229 | \$16,488,229 |
| 117 | *Cumulative Net Total Amount Awarded as a % of Grants Awarded | 19.0% | 22.5% | 27.4% | 24.6% | 24.6% |
| 118 | *Total Annual Set-Aside Activity Dollars Expended/Committed | \$1,111,351 | \$1,347,189 | \$2,270,518 | \$2,276,093 | \$1,739,342 |
| 119 | *Cumulative Set-Aside Activity Dollars Expended/Committed | \$2,376,516 | \$3,723,705 | \$5,994,223 | \$8,270,316 | \$10,009,658 |
| 120 | *Cumulative Remaining Awarded Amount for Set-Asides | \$5,739,073 | \$7,709,292 | \$7,920,045 | \$8,217,913 | \$6,478,571 |

* Calculated values.

**Drinking Water SRF Program Information
for the State of Rhode Island**

| DWSRF Fund Assistance | For the Reporting Year Ending June 30 of: | | | | |
|--|---|------|----------|-------------|--------------|
| | 1997 | 1998 | 1999 | 2000 | 2001 |
| Binding Commitments | | | | | |
| 121 Annual Dollar Amount | \$0 | \$0 | \$10,000 | \$5,000,000 | \$5,194,500 |
| 122 *Cumulative Dollar Amount | \$0 | \$0 | \$10,000 | \$5,010,000 | \$10,204,500 |
| 123 Annual Number of Binding Commitments | 0 | 0 | 1 | 1 | 2 |
| 124 *Cumulative Number of Binding Commitments | 0 | 0 | 1 | 2 | 4 |
| Type of DWSRF Assistance Provided (Dollars) | | | | | |
| 125 Executed Loan Commitments | \$0 | \$0 | \$10,000 | \$5,000,000 | \$5,194,500 |
| 126 Refinance Short-term Debt | \$0 | \$0 | \$0 | \$0 | \$0 |
| 127 Refinance Long-term Debt | \$0 | \$0 | \$0 | \$0 | \$0 |
| 128 Guarantee or Purchase Insurance | \$0 | \$0 | \$0 | \$0 | \$0 |
| 129 *Total Annual Assistance | \$0 | \$0 | \$10,000 | \$5,000,000 | \$5,194,500 |
| 130 *Cumulative Assistance | \$0 | \$0 | \$10,000 | \$5,010,000 | \$10,204,500 |
| Type of DWSRF Assistance Provided (Number of Assistance Agreements) | | | | | |
| 131 Executed Loan Commitments | 0 | 0 | 1 | 1 | 2 |
| 132 Refinance Short-term Debt | 0 | 0 | 0 | 0 | 0 |
| 133 Refinance Long-term Debt | 0 | 0 | 0 | 0 | 0 |
| 134 Guarantee or Purchase Insurance | 0 | 0 | 0 | 0 | 0 |
| 135 *Total Annual Number of Agreements | 0 | 0 | 1 | 1 | 2 |
| 136 *Cumulative Number of Agreements | 0 | 0 | 1 | 2 | 4 |
| Assistance by Population Size (Dollars) | | | | | |
| 137 Less than 501 | \$0 | \$0 | \$10,000 | \$0 | (\$5,500) |
| 138 501 to 3,300 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 139 3,301 to 10,000 | \$0 | \$0 | \$0 | \$0 | \$200,000 |
| 140 10,001 to 100,000 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 141 100,001 and Above | \$0 | \$0 | \$0 | \$5,000,000 | \$5,000,000 |
| 142 *Total Annual Assistance | \$0 | \$0 | \$10,000 | \$5,000,000 | \$5,194,500 |
| 143 *Cumulative Assistance | \$0 | \$0 | \$10,000 | \$5,010,000 | \$10,204,500 |
| Assistance by Population Size (Number of Assistance Agreements) | | | | | |
| 144 Less than 501 | 0 | 0 | 1 | 0 | 0 |
| 145 501 to 3,300 | 0 | 0 | 0 | 0 | 0 |
| 146 3,301 to 10,000 | 0 | 0 | 0 | 0 | 1 |
| 147 10,001 to 100,000 | 0 | 0 | 0 | 0 | 0 |
| 148 100,001 and Above | 0 | 0 | 0 | 1 | 1 |
| 149 *Total Annual Number of Agreements | 0 | 0 | 1 | 1 | 2 |
| 150 *Cumulative Number of Agreements | 0 | 0 | 1 | 2 | 4 |

* Calculated values.

**Drinking Water SRF Program Information
for the State of Rhode Island**

| DWSRF Fund Assistance | For the Reporting Year Ending June 30 of: | | | | |
|--|---|--------------|--------------|---------------|---------------|
| | 2002 | 2003 | 2004 | 2005 | 2006 |
| Binding Commitments | | | | | |
| 121 Annual Dollar Amount | \$2,500,000 | \$12,665,250 | \$47,189,000 | \$44,624,287 | \$338,500 |
| 122 *Cumulative Dollar Amount | \$12,704,500 | \$25,369,750 | \$72,558,750 | \$117,183,037 | \$117,521,537 |
| 123 Annual Number of Binding Commitments | 1 | 4 | 5 | 4 | 1 |
| 124 *Cumulative Number of Binding Commitments | 5 | 9 | 14 | 18 | 19 |
| Type of DWSRF Assistance Provided (Dollars) | | | | | |
| 125 Executed Loan Commitments | \$2,500,000 | \$12,665,250 | \$47,189,000 | \$44,624,287 | \$338,500 |
| 126 Refinance Short-term Debt | \$0 | \$0 | \$0 | \$0 | \$0 |
| 127 Refinance Long-term Debt | \$0 | \$0 | \$0 | \$0 | \$0 |
| 128 Guarantee or Purchase Insurance | \$0 | \$0 | \$0 | \$0 | \$0 |
| 129 *Total Annual Assistance | \$2,500,000 | \$12,665,250 | \$47,189,000 | \$44,624,287 | \$338,500 |
| 130 *Cumulative Assistance | \$12,704,500 | \$25,369,750 | \$72,558,750 | \$117,183,037 | \$117,521,537 |
| Type of DWSRF Assistance Provided (Number of Assistance Agreements) | | | | | |
| 131 Executed Loan Commitments | 1 | 4 | 5 | 4 | 1 |
| 132 Refinance Short-term Debt | 0 | 0 | 0 | 0 | 0 |
| 133 Refinance Long-term Debt | 0 | 0 | 0 | 0 | 0 |
| 134 Guarantee or Purchase Insurance | 0 | 0 | 0 | 0 | 0 |
| 135 *Total Annual Number of Agreements | 1 | 4 | 5 | 4 | 1 |
| 136 *Cumulative Number of Agreements | 5 | 9 | 14 | 18 | 19 |
| Assistance by Population Size (Dollars) | | | | | |
| 137 Less than 501 | \$0 | \$0 | \$850,000 | \$0 | \$338,500 |
| 138 501 to 3,300 | \$0 | \$300,000 | \$0 | \$0 | \$0 |
| 139 3,301 to 10,000 | \$0 | \$200,000 | \$3,164,000 | \$614,287 | \$0 |
| 140 10,001 to 100,000 | \$0 | \$10,165,250 | \$43,175,000 | \$4,000,000 | \$0 |
| 141 100,001 and Above | \$2,500,000 | \$2,000,000 | \$0 | \$40,010,000 | \$0 |
| 142 *Total Annual Assistance | \$2,500,000 | \$12,665,250 | \$47,189,000 | \$44,624,287 | \$338,500 |
| 143 *Cumulative Assistance | \$12,704,500 | \$25,369,750 | \$72,558,750 | \$117,183,037 | \$117,521,537 |
| Assistance by Population Size (Number of Assistance Agreements) | | | | | |
| 144 Less than 501 | 0 | 0 | 1 | 0 | 1 |
| 145 501 to 3,300 | 0 | 1 | 0 | 0 | 0 |
| 146 3,301 to 10,000 | 0 | 1 | 1 | 1 | 0 |
| 147 10,001 to 100,000 | 0 | 1 | 3 | 1 | 0 |
| 148 100,001 and Above | 1 | 1 | 0 | 2 | 0 |
| 149 *Total Annual Number of Agreements | 1 | 4 | 5 | 4 | 1 |
| 150 *Cumulative Number of Agreements | 5 | 9 | 14 | 18 | 19 |

* Calculated values.

**Drinking Water SRF Program Information
for the State of Rhode Island**

DWSRF Fund Assistance

For the Reporting Year Ending June 30 of:

| | 1997 | 1998 | 1999 | 2000 | 2001 |
|---|-------------|-------------|-------------|-------------|--------------|
| Drinking Water System Project Assistance | | | | | |
| (Dollars in each category) | | | | | |
| 151 Planning and Design Only | \$0 | \$0 | \$0 | \$0 | \$0 |
| Construction | | | | | |
| 152 Treatment | \$0 | \$0 | \$0 | \$850,000 | \$5,080,000 |
| 153 Transmission & Distribution | \$0 | \$0 | \$0 | \$3,159,000 | \$44,500 |
| 154 Source | \$0 | \$0 | \$9,200 | \$0 | \$0 |
| 155 Storage | \$0 | \$0 | \$0 | \$150,000 | \$0 |
| 156 Purchase of Systems | \$0 | \$0 | \$0 | \$0 | \$0 |
| 157 Restructuring | \$0 | \$0 | \$0 | \$0 | \$0 |
| 158 Land Acquisition | \$0 | \$0 | \$0 | \$0 | \$0 |
| 159 Other | \$0 | \$0 | \$800 | \$841,000 | \$70,000 |
| 160 <i>*Total Annual Dollar Amount</i> | \$0 | \$0 | \$10,000 | \$5,000,000 | \$5,194,500 |
| 161 <i>*Cumulative Dollar Amount</i> | \$0 | \$0 | \$10,000 | \$5,010,000 | \$10,204,500 |
| Drinking Water System Project Assistance | | | | | |
| (Number in each category)** | | | | | |
| 162 Planning and Design Only | 0 | 0 | 0 | 0 | 0 |
| Construction | | | | | |
| 163 Treatment | 0 | 0 | 0 | 1 | 2 |
| 164 Transmission & Distribution | 0 | 0 | 0 | 1 | 1 |
| 165 Source | 0 | 0 | 1 | 0 | 0 |
| 166 Storage | 0 | 0 | 0 | 1 | 0 |
| 167 Purchase of Systems | 0 | 0 | 0 | 0 | 0 |
| 168 Restructuring | 0 | 0 | 0 | 0 | 0 |
| 169 Land Acquisition | 0 | 0 | 0 | 0 | 0 |
| 170 Other | 0 | 0 | 1 | 1 | 0 |
| 171 <i>*Total Annual Number</i> | 0 | 0 | 2 | 4 | 3 |
| 172 <i>*Cumulative Number</i> | 0 | 0 | 2 | 6 | 9 |

* Calculated values.

** Assistance Agreements may be counted in more than one category when they fund more than one category.

**Drinking Water SRF Program Information
for the State of Rhode Island**

| DWSRF Fund Assistance | | <i>For the Reporting Year Ending June 30 of:</i> | | | | |
|---|------------------------------------|--|---------------------|---------------------|----------------------|----------------------|
| | | 2002 | 2003 | 2004 | 2005 | 2006 |
| Drinking Water System Project Assistance | | | | | | |
| (Dollars in each category) | | | | | | |
| 151 | Planning and Design Only | \$0 | \$0 | \$0 | \$2,000,000 | \$0 |
| Construction | | | | | | |
| 152 | Treatment | \$0 | \$0 | \$37,875,000 | \$25,409,000 | \$0 |
| 153 | Transmission & Distribution | \$2,500,000 | \$2,573,250 | \$9,164,000 | \$8,500,000 | \$338,500 |
| 154 | Source | \$0 | \$0 | \$0 | \$0 | \$0 |
| 155 | Storage | \$0 | \$5,842,000 | \$25,000 | \$0 | \$0 |
| 156 | Purchase of Systems | \$0 | \$0 | \$0 | \$0 | \$0 |
| 157 | Restructuring | \$0 | \$0 | \$0 | \$0 | \$0 |
| 158 | Land Acquisition | \$0 | \$0 | \$0 | \$0 | \$0 |
| 159 | Other | \$0 | \$4,250,000 | \$125,000 | \$8,715,287 | \$0 |
| 160 | <i>*Total Annual Dollar Amount</i> | <i>\$2,500,000</i> | <i>\$12,665,250</i> | <i>\$47,189,000</i> | <i>\$44,624,287</i> | <i>\$338,500</i> |
| 161 | <i>*Cumulative Dollar Amount</i> | <i>\$12,704,500</i> | <i>\$25,369,750</i> | <i>\$72,558,750</i> | <i>\$117,183,037</i> | <i>\$117,521,537</i> |
| Drinking Water System Project Assistance | | | | | | |
| (Number in each category)** | | | | | | |
| 162 | Planning and Design Only | 0 | 0 | 0 | 1 | 0 |
| Construction | | | | | | |
| 163 | Treatment | 0 | 0 | 1 | 1 | 0 |
| 164 | Transmission & Distribution | 1 | 2 | 4 | 2 | 1 |
| 165 | Source | 0 | 0 | 0 | 0 | 0 |
| 166 | Storage | 0 | 5 | 3 | 0 | 0 |
| 167 | Purchase of Systems | 0 | 0 | 0 | 0 | 0 |
| 168 | Restructuring | 0 | 0 | 0 | 0 | 0 |
| 169 | Land Acquisition | 0 | 0 | 0 | 0 | 0 |
| 170 | Other | 0 | 2 | 4 | 2 | 0 |
| 171 | <i>*Total Annual Number</i> | <i>1</i> | <i>9</i> | <i>12</i> | <i>6</i> | <i>1</i> |
| 172 | <i>*Cumulative Number</i> | <i>10</i> | <i>19</i> | <i>31</i> | <i>37</i> | <i>38</i> |

* Calculated values.

** Assistance Agreements may be counted in more than one category when they fund more than one category.

**Drinking Water SRF Program Information
for the State of Rhode Island**

| DWSRF Fund Assistance | For the Reporting Year Ending June 30 of: | | | | |
|--|---|------|------|-------------|--------------|
| | 1997 | 1998 | 1999 | 2000 | 2001 |
| Number of Projects Funded | | | | | |
| 173 Annual Number of Projects Receiving Assistance | 0 | 0 | 1 | 3 | 4 |
| 174 *Cumulative Number of Projects | 0 | 0 | 1 | 4 | 8 |
| DWSRF Project Starts | | | | | |
| 175 Annual Dollar Amount | \$0 | \$0 | \$0 | \$5,010,000 | \$5,194,500 |
| 176 *Cumulative Dollar Amount | \$0 | \$0 | \$0 | \$5,010,000 | \$10,204,500 |
| 177 Annual Number of Assistance Agreements | 0 | 0 | 0 | 2 | 2 |
| 178 *Cumulative Number of Agreements | 0 | 0 | 0 | 2 | 4 |
| DWSRF Project Completions | | | | | |
| 179 Annual Dollar Amount | \$0 | \$0 | \$0 | \$5,010,000 | \$194,500 |
| 180 *Cumulative Dollar Amount | \$0 | \$0 | \$0 | \$5,010,000 | \$5,204,500 |
| 181 Annual Number of Assistance Agreements | 0 | 0 | 0 | 2 | 1 |
| 182 *Cumulative Number of Agreements | 0 | 0 | 0 | 2 | 3 |
| 183 Number of Projects Completed | 0 | 0 | 0 | 4 | 1 |
| 184 *Cumulative Number of Projects Completed | 0 | 0 | 0 | 4 | 5 |
| Assistance to Disadvantaged Communities | | | | | |
| 185 Annual Dollar Amount of Assistance to Disadvantaged Communities | \$0 | \$0 | \$0 | \$0 | \$0 |
| 186 *Cumulative Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 |
| 187 Annual Number of Assistance Agreements | 0 | 0 | 0 | 0 | 0 |
| 188 *Cumulative Number of Agreements | 0 | 0 | 0 | 0 | 0 |
| Assistance with Principal Forgiveness | | | | | |
| 189 Annual Dollar Amount of Assistance with Principal Forgiveness | \$0 | \$0 | \$0 | \$0 | \$0 |
| 190 *Cumulative Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 |
| 191 Annual Dollar Amount of Principal Forgiven | \$0 | \$0 | \$0 | \$0 | \$0 |
| 192 *Cumulative Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 |
| 193 Annual Number of Assistance Agreements with Principal Forgiveness | 0 | 0 | 0 | 0 | 0 |
| 194 *Cumulative Number of Agreements | 0 | 0 | 0 | 0 | 0 |
| Assistance with Greater than 20-Year Repayment | | | | | |
| 195 Annual Dollar Amount of Assistance with > 20-Year Repayment | \$0 | \$0 | \$0 | \$0 | \$0 |
| 196 *Cumulative Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 |
| 197 Annual Number of Assistance Agreements with > 20-Year Repayment | 0 | 0 | 0 | 0 | 0 |
| 198 *Cumulative Number of Agreements | 0 | 0 | 0 | 0 | 0 |
| Population Served in Disadvantaged Communities Receiving Assistance | | | | | |
| 199 Population Served | 0 | 0 | 0 | 0 | 0 |
| 200 *Cumulative Population Served | 0 | 0 | 0 | 0 | 0 |

* Calculated values.

**Drinking Water SRF Program Information
for the State of Rhode Island**

| DWSRF Fund Assistance | | <i>For the Reporting Year Ending June 30 of:</i> | | | | |
|--|---|--|--------------|--------------|--------------|--------------|
| | | 2002 | 2003 | 2004 | 2005 | 2006 |
| Number of Projects Funded | | | | | | |
| 173 | Annual Number of Projects Receiving Assistance | 1 | 9 | 12 | 4 | 1 |
| 174 | *Cumulative Number of Projects | 9 | 18 | 30 | 34 | 35 |
| DWSRF Project Starts | | | | | | |
| 175 | Annual Dollar Amount | \$2,500,000 | \$12,665,250 | \$47,189,000 | \$8,715,287 | \$338,500 |
| 176 | *Cumulative Dollar Amount | \$12,704,500 | \$25,369,750 | \$72,558,750 | \$81,274,037 | \$81,612,537 |
| 177 | Annual Number of Assistance Agreements | 1 | 4 | 5 | 4 | 1 |
| 178 | *Cumulative Number of Agreements | 5 | 9 | 14 | 18 | 19 |
| DWSRF Project Completions | | | | | | |
| 179 | Annual Dollar Amount | \$0 | \$7,000,000 | \$200,000 | \$8,715,287 | \$338,500 |
| 180 | *Cumulative Dollar Amount | \$5,204,500 | \$12,204,500 | \$12,404,500 | \$21,119,787 | \$21,458,287 |
| 181 | Annual Number of Assistance Agreements | 0 | 3 | 1 | 2 | 1 |
| 182 | *Cumulative Number of Agreements | 3 | 6 | 7 | 9 | 10 |
| 183 | Number of Projects Completed | 0 | 3 | 1 | 2 | 1 |
| 184 | *Cumulative Number of Projects Completed | 5 | 8 | 9 | 11 | 12 |
| Assistance to Disadvantaged Communities | | | | | | |
| 185 | Annual Dollar Amount of Assistance to Disadvantaged Communities | \$0 | \$300,000 | \$850,000 | \$0 | \$0 |
| 186 | *Cumulative Dollar Amount | \$0 | \$300,000 | \$1,150,000 | \$1,150,000 | \$1,150,000 |
| 187 | Annual Number of Assistance Agreements | 0 | 1 | 1 | 0 | 0 |
| 188 | *Cumulative Number of Agreements | 0 | 1 | 2 | 2 | 2 |
| Assistance with Principal Forgiveness | | | | | | |
| 189 | Annual Dollar Amount of Assistance with Principal Forgiveness | \$0 | \$0 | \$0 | \$0 | \$0 |
| 190 | *Cumulative Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 |
| 191 | Annual Dollar Amount of Principal Forgiven | \$0 | \$0 | \$0 | \$0 | \$0 |
| 192 | *Cumulative Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 |
| 193 | Annual Number of Assistance Agreements with Principal Forgiveness | 0 | 0 | 0 | 0 | 0 |
| 194 | *Cumulative Number of Agreements | 0 | 0 | 0 | 0 | 0 |
| Assistance with Greater than 20-Year Repayment | | | | | | |
| 195 | Annual Dollar Amount of Assistance with > 20-Year Repayment | \$0 | \$0 | \$0 | \$0 | \$0 |
| 196 | *Cumulative Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 |
| 197 | Annual Number of Assistance Agreements with > 20-Year Repayment | 0 | 0 | 0 | 0 | 0 |
| 198 | *Cumulative Number of Agreements | 0 | 0 | 0 | 0 | 0 |
| Population Served in Disadvantaged Communities Receiving Assistance | | | | | | |
| 199 | Population Served | 0 | 600 | 200 | 0 | 0 |
| 200 | *Cumulative Population Served | 0 | 600 | 800 | 800 | 800 |

* Calculated values.

**Drinking Water SRF Program Information
for the State of Rhode Island**

DWSRF Fund Assistance - Specific Project Information

For the Reporting Year Ending June 30 of:

| | 1997 | 1998 | 1999 | 2000 | 2001 |
|---|------|------|----------|-------------|--------------|
| Assistance for System Compliance with SDWA | | | | | |
| (Dollars in each category) | | | | | |
| 201 Assisting Non-Compliant Systems to Achieve Compliance | \$0 | \$0 | \$0 | \$0 | \$0 |
| 202 *Cumulative Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 |
| 203 Assisting Compliant Systems to Maintain Compliance | \$0 | \$0 | \$10,000 | \$5,000,000 | \$5,200,000 |
| 204 *Cumulative Dollar Amount | \$0 | \$0 | \$10,000 | \$5,010,000 | \$10,210,000 |
| 205 Assisting Compliant Systems to Meet Future Requirements | \$0 | \$0 | \$0 | \$0 | \$0 |
| 206 *Cumulative Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 |
| 207 Other Assistance Not Compliance Related | \$0 | \$0 | \$0 | \$0 | \$0 |
| 208 *Cumulative Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 |
| (Number in each category) | | | | | |
| 209 Assisting Non-Compliant Systems to Achieve Compliance | 0 | 0 | 0 | 0 | 0 |
| 210 *Cumulative Number of Agreements | 0 | 0 | 0 | 0 | 0 |
| 211 Assisting Compliant Systems to Maintain Compliance | 0 | 0 | 0 | 0 | 0 |
| 212 *Cumulative Number of Agreements | 0 | 0 | 0 | 0 | 0 |
| 213 Assisting Compliant Systems to Meet Future Requirements | 0 | 0 | 0 | 0 | 0 |
| 214 *Cumulative Number of Agreements | 0 | 0 | 0 | 0 | 0 |
| 215 Other Assistance Not Compliance Related | 0 | 0 | 0 | 0 | 0 |
| 216 *Cumulative Number of Agreements | 0 | 0 | 0 | 0 | 0 |
| (Population Served in each category) | | | | | |
| 217 Assisting Non-Compliant Systems to Achieve Compliance | 0 | 0 | 0 | 0 | 0 |
| 218 *Cumulative Population Served | 0 | 0 | 0 | 0 | 0 |
| 219 Assisting Compliant Systems to Maintain Compliance | 0 | 0 | 77 | 273,853 | 277,353 |
| 220 *Cumulative Population Served | 0 | 0 | 77 | 273,930 | 551,283 |
| 221 Assisting Compliant Systems to Meet Future Requirements | 0 | 0 | 0 | 0 | 0 |
| 222 *Cumulative Population Served | 0 | 0 | 0 | 0 | 0 |
| 223 Other Assistance Not Compliance Related | 0 | 0 | 0 | 0 | 0 |
| 224 *Cumulative Population Served | 0 | 0 | 0 | 0 | 0 |

* Calculated values.

**Drinking Water SRF Program Information
for the State of Rhode Island**

| DWSRF Fund Assistance - Specific Project Information | | <i>For the Reporting Year Ending June 30 of:</i> | | | | |
|---|---|--|--------------|--------------|--------------|--------------|
| | | 2002 | 2003 | 2004 | 2005 | 2006 |
| Assistance for System Compliance with SDWA | | | | | | |
| (Dollars in each category) | | | | | | |
| 201 | Assisting Non-Compliant Systems to Achieve Compliance | \$0 | \$0 | \$0 | \$0 | \$0 |
| 202 | *Cumulative Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 |
| 203 | Assisting Compliant Systems to Maintain Compliance | \$0 | \$12,665,250 | \$0 | \$0 | \$338,500 |
| 204 | *Cumulative Dollar Amount | \$10,210,000 | \$22,875,250 | \$22,875,250 | \$22,875,250 | \$23,213,750 |
| 205 | Assisting Compliant Systems to Meet Future Requirements | \$0 | \$0 | \$0 | \$31,909,000 | \$0 |
| 206 | *Cumulative Dollar Amount | \$0 | \$0 | \$0 | \$31,909,000 | \$31,909,000 |
| 207 | Other Assistance Not Compliance Related | \$2,500,000 | \$0 | \$0 | \$12,715,287 | \$0 |
| 208 | *Cumulative Dollar Amount | \$2,500,000 | \$2,500,000 | \$2,500,000 | \$15,215,287 | \$15,215,287 |
| (Number in each category) | | | | | | |
| 209 | Assisting Non-Compliant Systems to Achieve Compliance | 0 | 0 | 0 | 0 | 0 |
| 210 | *Cumulative Number of Agreements | 0 | 0 | 0 | 0 | 0 |
| 211 | Assisting Compliant Systems to Maintain Compliance | 4 | 4 | 2 | 1 | 1 |
| 212 | *Cumulative Number of Agreements | 4 | 8 | 10 | 11 | 12 |
| 213 | Assisting Compliant Systems to Meet Future Requirements | 0 | 0 | 1 | 1 | 0 |
| 214 | *Cumulative Number of Agreements | 0 | 0 | 1 | 2 | 2 |
| 215 | Other Assistance Not Compliance Related | 1 | 0 | 2 | 2 | 0 |
| 216 | *Cumulative Number of Agreements | 1 | 1 | 3 | 5 | 5 |
| (Population Served in each category) | | | | | | |
| 217 | Assisting Non-Compliant Systems to Achieve Compliance | 0 | 0 | 0 | 0 | 0 |
| 218 | *Cumulative Population Served | 0 | 0 | 0 | 0 | 0 |
| 219 | Assisting Compliant Systems to Maintain Compliance | 0 | 3,241,532 | 9,219 | 46,000 | 40 |
| 220 | *Cumulative Population Served | 551,283 | 3,792,815 | 3,802,034 | 3,848,034 | 3,848,074 |
| 221 | Assisting Compliant Systems to Meet Future Requirements | 0 | 0 | 110,000 | 110,000 | 0 |
| 222 | *Cumulative Population Served | 0 | 0 | 110,000 | 220,000 | 220,000 |
| 223 | Other Assistance Not Compliance Related | 273,853 | 0 | 67,688 | 283,102 | 0 |
| 224 | *Cumulative Population Served | 273,853 | 273,853 | 341,541 | 624,643 | 624,643 |

* Calculated values.

**Drinking Water SRF Program Information
for the State of Rhode Island**

DWSRF Fund Assistance - Specific Project Information

| | | <i>For the Reporting Year Ending June 30 of:</i> | | | | |
|--|--|--|-------------|-------------|-------------|-------------|
| | | 1997 | 1998 | 1999 | 2000 | 2001 |
| Assistance to Private Systems | | | | | | |
| 225 | Annual Dollar Amount | \$0 | \$0 | \$10,000 | \$0 | \$0 |
| 226 | *Cumulative Dollar Amount | \$0 | \$0 | \$10,000 | \$10,000 | \$10,000 |
| 227 | Annual Number of Assistance Agreements | 0 | 0 | 1 | 0 | 0 |
| 228 | *Cumulative Number of Agreements | 0 | 0 | 1 | 1 | 1 |
| Assistance to Systems by Type | | | | | | |
| 229 | Annual Number of Community Systems | 0 | 0 | 1 | 1 | 2 |
| 230 | *Cumulative Number of Community Systems | 0 | 0 | 1 | 2 | 4 |
| 231 | Annual Number of non-Community Systems | 0 | 0 | 0 | 0 | 0 |
| 232 | *Cumulative Number of non-Community Systems | 0 | 0 | 0 | 0 | 0 |
| 233 | Annual Population Served by Community Systems | 0 | 0 | 77 | 286,923 | 290,423 |
| 234 | *Cumulative Population Served by Community Systems | 0 | 0 | 77 | 287,000 | 577,423 |
| 235 | Annual Population Served by non-Community Systems | 0 | 0 | 0 | 0 | 0 |
| 236 | *Cumulative Population Served by non-Community Systems | 0 | 0 | 0 | 0 | 0 |
| Assistance for the Creation of New Systems | | | | | | |
| 237 | Annual Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 |
| 238 | *Cumulative Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 |
| 239 | Annual Number of Assistance Agreements | 0 | 0 | 0 | 0 | 0 |
| 240 | *Cumulative Number of Agreements | 0 | 0 | 0 | 0 | 0 |
| Assistance for the Consolidation of Systems | | | | | | |
| 241 | Annual Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 |
| 242 | *Cumulative Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 |
| 243 | Annual Number of Assistance Agreements | 0 | 0 | 0 | 0 | 0 |
| 244 | *Cumulative Number of Agreements | 0 | 0 | 0 | 0 | 0 |
| 245 | Annual Number of Systems Eliminated | 0 | 0 | 0 | 0 | 0 |
| 246 | *Cumulative Number of Systems Eliminated | 0 | 0 | 0 | 0 | 0 |
| Assistance to Indian Tribes | | | | | | |
| 247 | Annual Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 |
| 248 | *Cumulative Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 |
| 249 | Annual Number of Assistance Agreements | 0 | 0 | 0 | 0 | 0 |
| 250 | *Cumulative Number of Agreements | 0 | 0 | 0 | 0 | 0 |

* Calculated values.

**Drinking Water SRF Program Information
for the State of Rhode Island**

| DWSRF Fund Assistance - Specific Project Information | | <i>For the Reporting Year Ending June 30 of:</i> | | | | |
|---|--|--|-------------|-------------|-------------|-------------|
| | | 2002 | 2003 | 2004 | 2005 | 2006 |
| Assistance to Private Systems | | | | | | |
| 225 | Annual Dollar Amount | \$0 | \$0 | \$850,000 | \$0 | \$0 |
| 226 | *Cumulative Dollar Amount | \$10,000 | \$10,000 | \$860,000 | \$860,000 | \$860,000 |
| 227 | Annual Number of Assistance Agreements | 0 | 0 | 1 | 0 | 0 |
| 228 | *Cumulative Number of Agreements | 1 | 1 | 2 | 2 | 2 |
| Assistance to Systems by Type | | | | | | |
| 229 | Annual Number of Community Systems | 1 | 4 | 4 | 4 | 0 |
| 230 | *Cumulative Number of Community Systems | 5 | 9 | 13 | 17 | 17 |
| 231 | Annual Number of non-Community Systems | 0 | 0 | 0 | 0 | 0 |
| 232 | *Cumulative Number of non-Community Systems | 0 | 0 | 0 | 0 | 0 |
| 233 | Annual Population Served by Community Systems | 286,923 | 336,923 | 186,482 | 439,852 | 40 |
| 234 | *Cumulative Population Served by Community Systems | 864,346 | 1,201,269 | 1,387,751 | 1,827,603 | 1,827,643 |
| 235 | Annual Population Served by non-Community Systems | 0 | 0 | 0 | 0 | 0 |
| 236 | *Cumulative Population Served by non-Community Systems | 0 | 0 | 0 | 0 | 0 |
| Assistance for the Creation of New Systems | | | | | | |
| 237 | Annual Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 |
| 238 | *Cumulative Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 |
| 239 | Annual Number of Assistance Agreements | 0 | 0 | 0 | 0 | 0 |
| 240 | *Cumulative Number of Agreements | 0 | 0 | 0 | 0 | 0 |
| Assistance for the Consolidation of Systems | | | | | | |
| 241 | Annual Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 |
| 242 | *Cumulative Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 |
| 243 | Annual Number of Assistance Agreements | 0 | 0 | 0 | 0 | 0 |
| 244 | *Cumulative Number of Agreements | 0 | 0 | 0 | 0 | 0 |
| 245 | Annual Number of Systems Eliminated | 0 | 0 | 0 | 0 | 0 |
| 246 | *Cumulative Number of Systems Eliminated | 0 | 0 | 0 | 0 | 0 |
| Assistance to Indian Tribes | | | | | | |
| 247 | Annual Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 |
| 248 | *Cumulative Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 |
| 249 | Annual Number of Assistance Agreements | 0 | 0 | 0 | 0 | 0 |
| 250 | *Cumulative Number of Agreements | 0 | 0 | 0 | 0 | 0 |

* Calculated values.

**Drinking Water SRF Program Information
for the State of Rhode Island**

| Fund Information | For the Reporting Year Ending June 30 of: | | | | |
|---|---|------|------|------|------|
| | 1997 | 1998 | 1999 | 2000 | 2001 |
| Leveraged Bonds (Excludes State Match) | | | | | |
| 251 Gross Leveraged Bonds Issued - Annual | \$0 | \$0 | \$0 | \$0 | \$0 |
| 252 Net Leveraged Bonds Issued - Annual | \$0 | \$0 | \$0 | \$0 | \$0 |
| 253 Cost of Leveraged Bond Issuance - Annual | \$0 | \$0 | \$0 | \$0 | \$0 |
| 254 Leveraged Bond Principal Repaid - Annual | \$0 | \$0 | \$0 | \$0 | \$0 |
| Debt Service Reserve for Leveraged Bonds | | | | | |
| 255 *Annual Change | \$0 | \$0 | \$0 | \$0 | \$0 |
| 256 Balance at End of Reporting Period | \$0 | \$0 | \$0 | \$0 | \$0 |
| 257 Net Change in Gross Bonds Resulting from Refunding - Annual | \$0 | \$0 | \$0 | \$0 | \$0 |
| 258 DWSRF Funds Used for Refunding (Excludes Bonds) - Annual | \$0 | \$0 | \$0 | \$0 | \$0 |
| 259 *Gross Leveraged Bonds Issued - Cumulative | \$0 | \$0 | \$0 | \$0 | \$0 |
| 260 *Net Leveraged Bonds Issued - Cumulative | \$0 | \$0 | \$0 | \$0 | \$0 |
| 261 *Cost of Leveraged Bond Issuance - Cumulative | \$0 | \$0 | \$0 | \$0 | \$0 |
| 262 *Leveraged Bond Principal Repaid - Cumulative | \$0 | \$0 | \$0 | \$0 | \$0 |
| 263 *Net Change in Gross Bonds Resulting from Refunding - Cumulative | \$0 | \$0 | \$0 | \$0 | \$0 |
| 264 *DWSRF Funds Used for Refunding (Excludes Bonds) - Cumulative | \$0 | \$0 | \$0 | \$0 | \$0 |
| 265 *Leveraged Bonds Outstanding - Balance at End of Reporting Period | \$0 | \$0 | \$0 | \$0 | \$0 |
| State Match Bonds to be Repaid by DWSRF Fund | | | | | |
| 266 *Match Bonds Issued - Annual | \$0 | \$0 | \$0 | \$0 | \$0 |
| 267 Match Bond Principal Repaid - Annual | \$0 | \$0 | \$0 | \$0 | \$0 |
| 268 *Match Bonds Issued - Cumulative | \$0 | \$0 | \$0 | \$0 | \$0 |
| 269 *Match Bond Principal Repaid - Cumulative | \$0 | \$0 | \$0 | \$0 | \$0 |
| 270 *Match Bonds Outstanding - Balance at End of Reporting Period | \$0 | \$0 | \$0 | \$0 | \$0 |
| Interest Paid on Leveraged and Match Bonds | | | | | |
| 271 Interest Paid from Capitalized Interest Account and Other DWSRF Funds - Annual | \$0 | \$0 | \$0 | \$0 | \$0 |
| 272 Interest Paid from Capitalized Interest Account - Annual | \$0 | \$0 | \$0 | \$0 | \$0 |
| Interest Paid from DWSRF Funds, Excluding Capitalized Interest Account Funds | | | | | |
| 273 *Annual Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 |
| 274 *Cumulative Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 |

*Calculated Values

**Drinking Water SRF Program Information
for the State of Rhode Island**

| Fund Information | | For the Reporting Year Ending June 30 of: | | | | |
|---|--|---|------|--------------|--------------|--------------|
| | | 2002 | 2003 | 2004 | 2005 | 2006 |
| Leveraged Bonds (Excludes State Match) | | | | | | |
| 251 | Gross Leveraged Bonds Issued - Annual | \$0 | \$0 | \$36,950,000 | \$41,350,000 | \$0 |
| 252 | Net Leveraged Bonds Issued - Annual | \$0 | \$0 | \$38,662,520 | \$41,794,828 | \$0 |
| 253 | Cost of Leveraged Bond Issuance - Annual | \$0 | \$0 | \$551,502 | \$529,789 | \$0 |
| 254 | Leveraged Bond Principal Repaid - Annual | \$0 | \$0 | \$0 | \$0 | \$1,053,217 |
| | Debt Service Reserve for Leveraged Bonds | | | | | |
| 255 | *Annual Change | \$0 | \$0 | \$2,187,793 | \$10,315,845 | \$9,712,464 |
| 256 | Balance at End of Reporting Period | \$0 | \$0 | \$2,187,793 | \$12,503,638 | \$22,216,102 |
| 257 | Net Change in Gross Bonds Resulting from Refunding - Annual | \$0 | \$0 | \$0 | \$0 | \$0 |
| 258 | DWSRF Funds Used for Refunding (Excludes Bonds) - Annual | \$0 | \$0 | \$0 | \$0 | \$0 |
| 259 | *Gross Leveraged Bonds Issued - Cumulative | \$0 | \$0 | \$36,950,000 | \$78,300,000 | \$78,300,000 |
| 260 | *Net Leveraged Bonds Issued - Cumulative | \$0 | \$0 | \$38,662,520 | \$80,457,348 | \$80,457,348 |
| 261 | *Cost of Leveraged Bond Issuance - Cumulative | \$0 | \$0 | \$551,502 | \$1,081,291 | \$1,081,291 |
| 262 | *Leveraged Bond Principal Repaid - Cumulative | \$0 | \$0 | \$0 | \$0 | \$1,053,217 |
| 263 | *Net Change in Gross Bonds Resulting from Refunding - Cumulative | \$0 | \$0 | \$0 | \$0 | \$0 |
| 264 | *DWSRF Funds Used for Refunding (Excludes Bonds) - Cumulative | \$0 | \$0 | \$0 | \$0 | \$0 |
| 265 | *Leveraged Bonds Outstanding - Balance at End of Reporting Period | \$0 | \$0 | \$36,950,000 | \$78,300,000 | \$77,246,783 |
| State Match Bonds to be Repaid by DWSRF Fund | | | | | | |
| 266 | *Match Bonds Issued - Annual | \$0 | \$0 | \$0 | \$0 | \$0 |
| 267 | Match Bond Principal Repaid - Annual | \$0 | \$0 | \$0 | \$0 | \$91,783 |
| 268 | *Match Bonds Issued - Cumulative | \$0 | \$0 | \$0 | \$0 | \$0 |
| 269 | *Match Bond Principal Repaid - Cumulative | \$0 | \$0 | \$0 | \$0 | \$91,783 |
| 270 | *Match Bonds Outstanding - Balance at End of Reporting Period | \$0 | \$0 | \$0 | \$0 | (\$91,783) |
| Interest Paid on Leveraged and Match Bonds | | | | | | |
| 271 | Interest Paid from Capitalized Interest Account and Other DWSRF Funds - Annual | \$0 | \$0 | \$362,226 | \$1,953,916 | \$3,356,187 |
| 272 | Interest Paid from Capitalized Interest Account - Annual | \$0 | \$0 | \$0 | \$0 | \$0 |
| Interest Paid from DWSRF Funds, Excluding Capitalized Interest Account Funds | | | | | | |
| 273 | *Annual Dollar Amount | \$0 | \$0 | \$362,226 | \$1,953,916 | \$3,356,187 |
| 274 | *Cumulative Dollar Amount | \$0 | \$0 | \$362,226 | \$2,316,142 | \$5,672,329 |

*Calculated Values

**Drinking Water SRF Program Information
for the State of Rhode Island**

| Fund Information | For the Reporting Year Ending June 30 of: | | | | |
|--|---|-------|-------|-------------|-------------|
| | 1997 | 1998 | 1999 | 2000 | 2001 |
| DWSRF Project Assistance Disbursed | | | | | |
| 275 Annual DWSRF Fund Disbursements (Excludes 1452(k) Loans) | \$0 | \$0 | \$0 | \$4,802,108 | \$2,590,766 |
| 276 *Cumulative DWSRF Fund Disbursements | \$0 | \$0 | \$0 | \$4,802,108 | \$7,392,874 |
| 277 Annual 1452(k) Loan Disbursements | \$0 | \$0 | \$0 | \$0 | \$0 |
| 278 *Cumulative 1452(k) Loan Disbursements | \$0 | \$0 | \$0 | \$0 | \$0 |
| DWSRF Loans - All Loans Except 1452(k) Loans Maintained in a Separate Account | | | | | |
| 279 Number of Projects Initiating Principal Repayments | 0 | 0 | 0 | 0 | 4 |
| 280 *Cumulative Number of Projects Initiating Principal Repayments | 0 | 0 | 0 | 0 | 4 |
| 281 Principal Repayments - Annual | \$0 | \$0 | \$0 | \$0 | \$933,036 |
| 282 Interest Payments - Annual | \$0 | \$0 | \$0 | \$46,541 | \$135,728 |
| 283 *Principal and Interest - Annual | \$0 | \$0 | \$0 | \$46,541 | \$1,068,764 |
| 284 *Principal Repayments - Cumulative | \$0 | \$0 | \$0 | \$0 | \$933,036 |
| 285 *Interest Payments - Cumulative | \$0 | \$0 | \$0 | \$46,541 | \$182,269 |
| 286 *Principal and Interest - Cumulative | \$0 | \$0 | \$0 | \$46,541 | \$1,115,305 |
| 287 Weighted Average Interest Rate on DWSRF Executed Loan Commitments | 0.000 | 0.000 | 6.000 | 3.100 | 2.820 |
| 288 State Market Interest Rate | 0.000 | 0.000 | 7.250 | 6.900 | 4.000 |
| DWSRF 1452(k) Loans - Separately Maintained 1452(k) Loans Only | | | | | |
| 289 Principal Repayments - Annual | \$0 | \$0 | \$0 | \$0 | \$0 |
| 290 Interest Payments - Annual | \$0 | \$0 | \$0 | \$0 | \$0 |
| 291 *Principal and Interest - Annual | \$0 | \$0 | \$0 | \$0 | \$0 |
| 292 *Principal Repayments - Cumulative | \$0 | \$0 | \$0 | \$0 | \$0 |
| 293 *Interest Payments - Cumulative | \$0 | \$0 | \$0 | \$0 | \$0 |
| 294 *Principal and Interest - Cumulative | \$0 | \$0 | \$0 | \$0 | \$0 |
| 295 Weighted Average Interest Rate on 1452(k) Loans | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 |
| Interest Earnings on Investments | | | | | |
| 296 Annual Interest Earnings on Investments in DWSRF Fund (Except 1452(k) Funds) | \$0 | \$0 | \$0 | \$72,768 | \$186,275 |
| 297 *Cumulative Interest Earnings on Investments in DWSRF Fund | \$0 | \$0 | \$0 | \$72,768 | \$259,043 |
| 298 Annual Interest Earnings on 1452(k) Loan Account Investments | \$0 | \$0 | \$0 | \$0 | \$0 |
| 299 *Cumulative Interest Earnings on 1452(k) Loan Account Investments | \$0 | \$0 | \$0 | \$0 | \$0 |
| Fees Charged on DWSRF Assistance | | | | | |
| 300 Annual Income from Fees Included in Loans | \$0 | \$0 | \$0 | \$0 | \$0 |
| 301 Annual Income from Fees not Included in Loans | \$0 | \$0 | \$0 | \$7,615 | \$22,198 |
| 302 Annual Interest Earnings from Fee Account | \$0 | \$0 | \$0 | \$0 | \$0 |
| 303 *Total Annual Income from Fees | \$0 | \$0 | \$0 | \$7,615 | \$22,198 |
| 304 *Cumulative Income from Fees | \$0 | \$0 | \$0 | \$7,615 | \$29,813 |
| Expenses Paid from DWSRF Fee Accounts | | | | | |
| 305 Annual Expenses Paid from Fee Account to Administer DWSRF Fund | \$0 | \$0 | \$0 | \$0 | \$0 |
| 306 *Cumulative Expenses Paid to Administer DWSRF Fund | \$0 | \$0 | \$0 | \$0 | \$0 |
| 307 Annual Amount Paid from Fee Account for State Match | \$0 | \$0 | \$0 | \$0 | \$0 |
| 308 *Cumulative Amount Paid from Fee Account for State Match | \$0 | \$0 | \$0 | \$0 | \$0 |
| 309 Annual Expenses Paid from Fee Account for Other Eligible DWSRF Purposes | \$0 | \$0 | \$0 | \$0 | \$0 |
| 310 *Cumulative Expenses Paid for Other Eligible DWSRF Purposes | \$0 | \$0 | \$0 | \$0 | \$0 |
| DWSRF Administrative Expenses Paid from Funds Other than DWSRF or Fees | | | | | |
| 311 Annual Other State Funded Administrative Expenses | \$0 | \$0 | \$0 | \$0 | \$0 |
| 312 *Cumulative Other State Funded Administrative Expenses | \$0 | \$0 | \$0 | \$0 | \$0 |

* Calculated values.

**Drinking Water SRF Program Information
for the State of Rhode Island**

| Fund Information | For the Reporting Year Ending June 30 of: | | | | |
|--|---|--------------|--------------|--------------|--------------|
| | 2002 | 2003 | 2004 | 2005 | 2006 |
| DWSRF Project Assistance Disbursed | | | | | |
| 275 Annual DWSRF Fund Disbursements (Excludes 1452(k) Loans) | \$2,292,663 | \$5,110,100 | \$4,170,549 | \$24,766,976 | \$27,989,385 |
| 276 *Cumulative DWSRF Fund Disbursements | \$9,685,537 | \$14,795,637 | \$18,966,186 | \$43,733,162 | \$71,722,547 |
| 277 Annual 1452(k) Loan Disbursements | \$0 | \$0 | \$0 | \$0 | \$0 |
| 278 *Cumulative 1452(k) Loan Disbursements | \$0 | \$0 | \$0 | \$0 | \$0 |
| DWSRF Loans - All Loans Except 1452(k) Loans Maintained in a Separate Account | | | | | |
| 279 Number of Projects Initiating Principal Repayments | 0 | 5 | 3 | 0 | 0 |
| 280 *Cumulative Number of Projects Initiating Principal Repayments | 4 | 9 | 12 | 12 | 12 |
| 281 Principal Repayments - Annual | \$964,932 | \$2,078,808 | \$2,533,663 | \$4,066,355 | \$3,818,687 |
| 282 Interest Payments - Annual | \$171,044 | \$197,851 | \$267,315 | \$899,424 | \$1,834,288 |
| 283 *Principal and Interest - Annual | \$1,135,976 | \$2,276,659 | \$2,800,978 | \$4,965,779 | \$5,652,975 |
| 284 *Principal Repayments - Cumulative | \$1,897,968 | \$3,976,776 | \$6,510,439 | \$10,576,794 | \$14,395,481 |
| 285 *Interest Payments - Cumulative | \$353,313 | \$551,164 | \$818,479 | \$1,717,903 | \$3,552,191 |
| 286 *Principal and Interest - Cumulative | \$2,251,281 | \$4,527,940 | \$7,328,918 | \$12,294,697 | \$17,947,672 |
| 287 Weighted Average Interest Rate on DWSRF Executed Loan Commitments | 3.470 | 3.650 | 2.390 | 2.750 | 2.820 |
| 288 State Market Interest Rate | 3.500 | 3.500 | 3.000 | 3.500 | 3.760 |
| DWSRF 1452(k) Loans - Separately Maintained 1452(k) Loans Only | | | | | |
| 289 Principal Repayments - Annual | \$0 | \$0 | \$0 | \$0 | \$0 |
| 290 Interest Payments - Annual | \$0 | \$0 | \$0 | \$0 | \$0 |
| 291 *Principal and Interest - Annual | \$0 | \$0 | \$0 | \$0 | \$0 |
| 292 *Principal Repayments - Cumulative | \$0 | \$0 | \$0 | \$0 | \$0 |
| 293 *Interest Payments - Cumulative | \$0 | \$0 | \$0 | \$0 | \$0 |
| 294 *Principal and Interest - Cumulative | \$0 | \$0 | \$0 | \$0 | \$0 |
| 295 Weighted Average Interest Rate on 1452(k) Loans | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 |
| Interest Earnings on Investments | | | | | |
| 296 Annual Interest Earnings on Investments in DWSRF Fund (Except 1452(k) Funds) | \$57,436 | \$38,114 | \$305,946 | \$1,513,254 | \$2,445,317 |
| 297 *Cumulative Interest Earnings on Investments in DWSRF Fund | \$316,479 | \$354,593 | \$660,539 | \$2,173,793 | \$4,619,110 |
| 298 Annual Interest Earnings on 1452(k) Loan Account Investments | \$0 | \$0 | \$0 | \$0 | \$0 |
| 299 *Cumulative Interest Earnings on 1452(k) Loan Account Investments | \$0 | \$0 | \$0 | \$0 | \$0 |
| Fees Charged on DWSRF Assistance | | | | | |
| 300 Annual Income from Fees Included in Loans | \$0 | \$0 | \$0 | \$0 | \$0 |
| 301 Annual Income from Fees not Included in Loans | \$30,705 | \$36,662 | \$47,787 | \$94,866 | \$275,537 |
| 302 Annual Interest Earnings from Fee Account | \$0 | \$0 | \$0 | \$0 | \$0 |
| 303 *Total Annual Income from Fees | \$30,705 | \$36,662 | \$47,787 | \$94,866 | \$275,537 |
| 304 *Cumulative Income from Fees | \$60,518 | \$97,180 | \$144,967 | \$239,833 | \$515,370 |
| Expenses Paid from DWSRF Fee Accounts | | | | | |
| 305 Annual Expenses Paid from Fee Account to Administer DWSRF Fund | \$0 | \$0 | \$0 | \$0 | \$0 |
| 306 *Cumulative Expenses Paid to Administer DWSRF Fund | \$0 | \$0 | \$0 | \$0 | \$0 |
| 307 Annual Amount Paid from Fee Account for State Match | \$0 | \$0 | \$0 | \$0 | \$0 |
| 308 *Cumulative Amount Paid from Fee Account for State Match | \$0 | \$0 | \$0 | \$0 | \$0 |
| 309 Annual Expenses Paid from Fee Account for Other Eligible DWSRF Purposes | \$0 | \$0 | \$0 | \$0 | \$0 |
| 310 *Cumulative Expenses Paid for Other Eligible DWSRF Purposes | \$0 | \$0 | \$0 | \$0 | \$0 |
| DWSRF Administrative Expenses Paid from Funds Other than DWSRF or Fees | | | | | |
| 311 Annual Other State Funded Administrative Expenses | \$0 | \$0 | \$0 | \$0 | \$0 |
| 312 *Cumulative Other State Funded Administrative Expenses | \$0 | \$0 | \$0 | \$0 | \$0 |

* Calculated values.

**Drinking Water SRF Program Information
for the State of Rhode Island**

| Other Drinking Water Programs (Separate from DWSRF) | For the Reporting Year Ending June 30 of: | | | | |
|--|---|------|------|------|------|
| | 1997 | 1998 | 1999 | 2000 | 2001 |
| Coordinated DWSRF Funding with Other State or Federal Funding Sources | | | | | |
| 313 Amount of Coordinated Funding | \$0 | \$0 | \$0 | \$0 | \$0 |
| 314 *Cumulative Amount of Coordinated Funding | \$0 | \$0 | \$0 | \$0 | \$0 |
| 315 Number of DWSRF Assistance Agreements Receiving Coordinated Funding | 0 | 0 | 0 | 0 | 0 |
| 316 *Cumulative Number of DWSRF Assistance Agreements Receiving Coordinated Funding | 0 | 0 | 0 | 0 | 0 |
| 317 DWSRF Portion of Coordinated Funding | \$0 | \$0 | \$0 | \$0 | \$0 |
| 318 *Cumulative DWSRF Portion of Coordinated Funding | \$0 | \$0 | \$0 | \$0 | \$0 |
| State Funded Drinking Water Loan Programs (Separate from DWSRF - Similar Eligibilities) | | | | | |
| 319 Annual Dollar Amount of Loans | \$0 | \$0 | \$0 | \$0 | \$0 |
| 320 *Cumulative Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 |
| 321 Annual Number of Loans | 0 | 0 | 0 | 0 | 0 |
| 322 *Cumulative Number of Loans | 0 | 0 | 0 | 0 | 0 |
| State Funded Drinking Water Grant Programs (Separate from DWSRF - Similar Eligibilities) | | | | | |
| 323 Annual Dollar Amount of Grants | \$0 | \$0 | \$0 | \$0 | \$0 |
| 324 *Cumulative Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 |
| 325 Annual Number of Grants | 0 | 0 | 0 | 0 | 0 |
| 326 *Cumulative Number of Grants | 0 | 0 | 0 | 0 | 0 |
| Total State Funded Drinking Water Programs (Separate from DWSRF - Similar Eligibilities) | | | | | |
| 327 *Dollar Amount of Loans and Grants - Annual | \$0 | \$0 | \$0 | \$0 | \$0 |
| 328 *Dollar Amount of Loans and Grants - Cumulative | \$0 | \$0 | \$0 | \$0 | \$0 |
| 329 *Number of Loans and Grants - Annual | 0 | 0 | 0 | 0 | 0 |
| 330 *Number of Loans and Grants - Cumulative | 0 | 0 | 0 | 0 | 0 |
| State Funded Drinking Water Loan Programs (Separate from DWSRF - Dissimilar Eligibilities) | | | | | |
| 331 Annual Dollar Amount of Loans | \$0 | \$0 | \$0 | \$0 | \$0 |
| 332 *Cumulative Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 |
| 333 Annual Number of Loans | 0 | 0 | 0 | 0 | 0 |
| 334 *Cumulative Number of Loans | 0 | 0 | 0 | 0 | 0 |
| State Funded Drinking Water Grant Programs (Separate from DWSRF - Dissimilar Eligibilities) | | | | | |
| 335 Annual Dollar Amount of Grants | \$0 | \$0 | \$0 | \$0 | \$0 |
| 336 *Cumulative Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 |
| 337 Annual Number of Grants | 0 | 0 | 0 | 0 | 0 |
| 338 *Cumulative Number of Grants | 0 | 0 | 0 | 0 | 0 |
| Total State Funded Drinking Water Programs (Separate from DWSRF - Dissimilar Eligibilities) | | | | | |
| 339 *Dollar Amount of Loans and Grants - Annual | \$0 | \$0 | \$0 | \$0 | \$0 |
| 340 *Dollar Amount of Loans and Grants - Cumulative | \$0 | \$0 | \$0 | \$0 | \$0 |
| 341 *Number of Loans and Grants - Annual | 0 | 0 | 0 | 0 | 0 |
| 342 *Number of Loans and Grants - Cumulative | 0 | 0 | 0 | 0 | 0 |

* Calculated values.

**Drinking Water SRF Program Information
for the State of Rhode Island**

| Other Drinking Water Programs (Separate from DWSRF) | For the Reporting Year Ending June 30 of: | | | | |
|--|---|------|------|------|------|
| | 2002 | 2003 | 2004 | 2005 | 2006 |
| Coordinated DWSRF Funding with Other State or Federal Funding Sources | | | | | |
| 313 Amount of Coordinated Funding | \$0 | \$0 | \$0 | \$0 | \$0 |
| 314 *Cumulative Amount of Coordinated Funding | \$0 | \$0 | \$0 | \$0 | \$0 |
| 315 Number of DWSRF Assistance Agreements Receiving Coordinated Funding | 0 | 0 | 0 | 0 | 0 |
| 316 *Cumulative Number of DWSRF Assistance Agreements Receiving Coordinated Funding | 0 | 0 | 0 | 0 | 0 |
| 317 DWSRF Portion of Coordinated Funding | \$0 | \$0 | \$0 | \$0 | \$0 |
| 318 *Cumulative DWSRF Portion of Coordinated Funding | \$0 | \$0 | \$0 | \$0 | \$0 |
| State Funded Drinking Water Loan Programs (Separate from DWSRF - Similar Eligibilities) | | | | | |
| 319 Annual Dollar Amount of Loans | \$0 | \$0 | \$0 | \$0 | \$0 |
| 320 *Cumulative Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 |
| 321 Annual Number of Loans | 0 | 0 | 0 | 0 | 0 |
| 322 *Cumulative Number of Loans | 0 | 0 | 0 | 0 | 0 |
| State Funded Drinking Water Grant Programs (Separate from DWSRF - Similar Eligibilities) | | | | | |
| 323 Annual Dollar Amount of Grants | \$0 | \$0 | \$0 | \$0 | \$0 |
| 324 *Cumulative Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 |
| 325 Annual Number of Grants | 0 | 0 | 0 | 0 | 0 |
| 326 *Cumulative Number of Grants | 0 | 0 | 0 | 0 | 0 |
| Total State Funded Drinking Water Programs (Separate from DWSRF - Similar Eligibilities) | | | | | |
| 327 *Dollar Amount of Loans and Grants - Annual | \$0 | \$0 | \$0 | \$0 | \$0 |
| 328 *Dollar Amount of Loans and Grants - Cumulative | \$0 | \$0 | \$0 | \$0 | \$0 |
| 329 *Number of Loans and Grants - Annual | 0 | 0 | 0 | 0 | 0 |
| 330 *Number of Loans and Grants - Cumulative | 0 | 0 | 0 | 0 | 0 |
| State Funded Drinking Water Loan Programs (Separate from DWSRF - Dissimilar Eligibilities) | | | | | |
| 331 Annual Dollar Amount of Loans | \$0 | \$0 | \$0 | \$0 | \$0 |
| 332 *Cumulative Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 |
| 333 Annual Number of Loans | 0 | 0 | 0 | 0 | 0 |
| 334 *Cumulative Number of Loans | 0 | 0 | 0 | 0 | 0 |
| State Funded Drinking Water Grant Programs (Separate from DWSRF - Dissimilar Eligibilities) | | | | | |
| 335 Annual Dollar Amount of Grants | \$0 | \$0 | \$0 | \$0 | \$0 |
| 336 *Cumulative Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 |
| 337 Annual Number of Grants | 0 | 0 | 0 | 0 | 0 |
| 338 *Cumulative Number of Grants | 0 | 0 | 0 | 0 | 0 |
| Total State Funded Drinking Water Programs (Separate from DWSRF - Dissimilar Eligibilities) | | | | | |
| 339 *Dollar Amount of Loans and Grants - Annual | \$0 | \$0 | \$0 | \$0 | \$0 |
| 340 *Dollar Amount of Loans and Grants - Cumulative | \$0 | \$0 | \$0 | \$0 | \$0 |
| 341 *Number of Loans and Grants - Annual | 0 | 0 | 0 | 0 | 0 |
| 342 *Number of Loans and Grants - Cumulative | 0 | 0 | 0 | 0 | 0 |

* Calculated values.

**Drinking Water SRF Program Information
for the State of Rhode Island**

Lead State Agency

| | | |
|-----|-------------------------|---------------------------------|
| 343 | Lead State Agency Name: | Clean Water Finance Agency |
| 344 | Type of Agency: | Finance Agency |
| 345 | Mailing Address: | 235 Promenade Street, Suite 119 |
| 346 | City: | Providence |
| 347 | Zip Code: | 02908 |
| 348 | Street Address: | |
| 349 | City: | |
| 350 | Zip Code: | |
| 351 | Director: | Anthony B. Simeone |
| 352 | Director's Title: | Executive Director |
| 353 | Phone Number: | (401) 453-4430 Ext. 14 |
| 354 | Fax Number: | (401) 453-4094 |
| 355 | E-mail Address: | asimeone@ricwfa.com |
| 356 | Contact Person: | Anna M. Coelho |
| 357 | Phone Number: | (401) 453-4430 Ext. 12 |
| 358 | Fax Number: | (401) 453-4094 |
| 359 | E-mail Address: | acoelho@ricwfa.com |

Secondary Agency

| | | |
|-----|------------------------|-------------------------------------|
| 360 | Secondary Agency Name: | Department Of Health |
| 361 | Type of Agency: | State Health Department |
| 362 | Mailing Address: | Three Capitol Hill, Cannon Building |
| 363 | City: | Providence |
| 364 | Zip Code: | 02908 |
| 365 | Street Address: | |
| 366 | City: | |
| 367 | Zip Code: | |
| 368 | Director: | June Swallow |
| 369 | Director's Title: | Chief Of Drinking Water Quality |
| 370 | Phone Number: | (401) 222-6867 |
| 371 | Fax Number: | (401) 222-6953 |
| 372 | E-mail Address: | junes@health.ri.gov |
| 373 | Contact Person: | Gary Chobanian |
| 374 | Phone Number : | (401) 222-7768 |
| 375 | Fax Number: | (401) 222-6953 |
| 376 | E-mail Address: | garyc@health.ri.gov |

Other Agency

| | | |
|-----|--------------------|--|
| 377 | Other Agency Name: | |
| 378 | Type of Agency: | |
| 379 | Mailing Address: | |
| 380 | City: | |
| 381 | Zip Code: | |
| 382 | Street Address: | |
| 383 | City: | |
| 384 | Zip Code: | |
| 385 | Director: | |
| 386 | Director's Title: | |
| 387 | Phone Number: | |
| 388 | Fax Number: | |
| 389 | E-mail Address: | |
| 390 | Contact Person: | |
| 391 | Phone Number: | |
| 392 | Fax Number: | |
| 393 | E-mail Address: | |

**Drinking Water SRF Program Information
for the State of Rhode Island**

| Fund Analysis | For the Reporting Year Ending June 30 of: | | | | |
|---|---|------------|------------|------------|------------|
| | 1997 | 1998 | 1999 | 2000 | 2001 |
| DWSRF Funds Available for Projects | | | | | |
| 394 *Annual (New Funds) | 0 | 12,809,976 | 0 | 7,847,795 | 8,990,581 |
| 395 *Cumulative | 0 | 12,809,976 | 12,809,976 | 20,657,771 | 29,648,352 |
| DWSRF Assistance as a % of Funds Available | | | | | |
| 396 *Annual | - | 0% | - | 64% | 58% |
| 397 *Cumulative | - | 0% | 0% | 24% | 34% |
| Outlays as a % of Capitalization Grants | | | | | |
| 398 *Annual | - | 2% | - | 58% | 40% |
| 399 *Cumulative | - | 2% | 4% | 23% | 28% |
| Disbursements as a % of Funds Available | | | | | |
| 400 *Annual | - | 0% | - | 61% | 29% |
| 401 *Cumulative | - | 0% | 0% | 23% | 25% |
| Project Starts as a % of Funds Available | | | | | |
| 402 *Annual | - | 0% | - | 64% | 58% |
| 403 *Cumulative | - | 0% | 0% | 24% | 34% |
| Project Completions as a % of Funds Available | | | | | |
| 404 *Annual | - | 0% | - | 64% | 2% |
| 405 *Cumulative | - | 0% | 0% | 24% | 18% |
| Loan Principal Repayments as a % of Funds Available | | | | | |
| 406 *Annual | - | 0% | - | 0% | 10% |
| 407 *Cumulative | - | 0% | 0% | 0% | 3% |
| Disbursements as a % of DWSRF Assistance | | | | | |
| 408 *Annual | - | - | 0% | 96% | 50% |
| 409 *Cumulative | - | - | 0% | 96% | 72% |
| Project Starts as a % of DWSRF Assistance | | | | | |
| 410 *Annual | - | - | 0% | 100% | 100% |
| 411 *Cumulative | - | - | 0% | 100% | 100% |
| Project Completions as a % of DWSRF Assistance | | | | | |
| 412 *Annual | - | - | 0% | 100% | 4% |
| 413 *Cumulative | - | - | 0% | 100% | 51% |
| Loan Principal Repayments as a % of DWSRF Assistance | | | | | |
| 414 *Annual | - | - | 0% | 0% | 18% |
| 415 *Cumulative | - | - | 0% | 0% | 9% |
| Project Completions as a % of Project Starts | | | | | |
| 416 *Annual | - | - | - | 100% | 4% |
| 417 *Cumulative | - | - | - | 100% | 51% |
| Financial Indicators based on Cumulative Activity | | | | | |
| 418 *Return on Federal Investment | - | 0.0% | 0.0% | 115.5% | 114.4% |
| 419 *Assistance Provided as a % of Funds Available | - | 0.0% | 0.1% | 24.3% | 34.4% |
| 420 *Disbursements as a % of Assistance Provided | - | - | 0.0% | 95.9% | 72.4% |
| 421 *Additional Assistance Provided Due to Leveraging | - | - | - | - | - |
| 422 *Net Return/(Loss) after Repaying Match Bonds and Forgiving Principal | \$0 | \$0 | \$0 | \$119,309 | \$441,312 |
| 423 *Net Return on Contributed Capital | - | 0.0% | 0.0% | 1.5% | 3.8% |
| 424 *Set-Aside Spending Rate | - | 0.9% | 7.3% | 13.7% | 29.4% |

* Calculated values.

**Drinking Water SRF Program Information
for the State of Rhode Island**

| Fund Analysis | For the Reporting Year Ending June 30 of: | | | | |
|---|---|------------|--------------|--------------|--------------|
| | 2002 | 2003 | 2004 | 2005 | 2006 |
| DWSRF Funds Available for Projects | | | | | |
| 394 *Annual (New Funds) | 16,031,819 | 8,660,365 | 38,338,974 | 51,397,959 | -6,115,359 |
| 395 *Cumulative | 45,680,171 | 54,340,536 | 92,679,510 | 144,077,469 | 137,962,110 |
| DWSRF Assistance as a % of Funds Available | | | | | |
| 396 *Annual | 16% | 146% | 123% | 87% | -6% |
| 397 *Cumulative | 28% | 47% | 78% | 81% | 85% |
| Outlays as a % of Capitalization Grants | | | | | |
| 398 *Annual | 18% | 65% | - | 81% | - |
| 399 *Cumulative | 24% | 31% | 47% | 55% | 75% |
| Disbursements as a % of Funds Available | | | | | |
| 400 *Annual | 14% | 59% | 11% | 48% | -458% |
| 401 *Cumulative | 21% | 27% | 20% | 30% | 52% |
| Project Starts as a % of Funds Available | | | | | |
| 402 *Annual | 16% | 146% | 123% | 17% | -6% |
| 403 *Cumulative | 28% | 47% | 78% | 56% | 59% |
| Project Completions as a % of Funds Available | | | | | |
| 404 *Annual | 0% | 81% | 1% | 17% | -6% |
| 405 *Cumulative | 11% | 22% | 13% | 15% | 16% |
| Loan Principal Repayments as a % of Funds Available | | | | | |
| 406 *Annual | 6% | 24% | 7% | 8% | -62% |
| 407 *Cumulative | 4% | 7% | 7% | 7% | 10% |
| Disbursements as a % of DWSRF Assistance | | | | | |
| 408 *Annual | 92% | 40% | 9% | 56% | 8269% |
| 409 *Cumulative | 76% | 58% | 26% | 37% | 61% |
| Project Starts as a % of DWSRF Assistance | | | | | |
| 410 *Annual | 100% | 100% | 100% | 20% | 100% |
| 411 *Cumulative | 100% | 100% | 100% | 69% | 69% |
| Project Completions as a % of DWSRF Assistance | | | | | |
| 412 *Annual | 0% | 55% | 0% | 20% | 100% |
| 413 *Cumulative | 41% | 48% | 17% | 18% | 18% |
| Loan Principal Repayments as a % of DWSRF Assistance | | | | | |
| 414 *Annual | 39% | 16% | 5% | 9% | 1128% |
| 415 *Cumulative | 15% | 16% | 9% | 9% | 12% |
| Project Completions as a % of Project Starts | | | | | |
| 416 *Annual | 0% | 55% | 0% | 100% | 100% |
| 417 *Cumulative | 41% | 48% | 17% | 26% | 26% |
| Financial Indicators based on Cumulative Activity | | | | | |
| 418 *Return on Federal Investment | 117.3% | 120.2% | 104.5% | 149.4% | 176.3% |
| 419 *Assistance Provided as a % of Funds Available | 27.8% | 46.7% | 78.3% | 81.3% | 85.2% |
| 420 *Disbursements as a % of Assistance Provided | 76.2% | 58.3% | 26.1% | 37.3% | 61.0% |
| 421 *Additional Assistance Provided Due to Leveraging | - | - | \$16,353,967 | \$41,059,278 | \$37,800,673 |
| 422 *Net Return/(Loss) after Repaying Match Bonds and Forgiving Principal | \$669,792 | \$905,757 | \$1,116,792 | \$1,575,554 | \$2,407,189 |
| 423 *Net Return on Contributed Capital | 4.1% | 4.1% | 3.8% | 3.7% | 4.5% |
| 424 *Set-Aside Spending Rate | 29.3% | 32.6% | 43.1% | 50.2% | 60.7% |

* Calculated values.